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*Lymphoproliferative Disorder Involving Body Fluid* 

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The Journal of Pathology and Translational Medicine is an open venue for the rapid publication of major achievements in various fields of pathology, cytopathology, and biomedical and translational research. The Journal aims to share new insights into the molecular and cellular mechanisms of human diseases and to report major advances in both experimental and clinical medicine, with a particular emphasis on translational research. The investigations of human cells and tissues using high-dimensional biology techniques such as genomics and proteomics will be given a high priority. Articles on stem cell biology are also welcome. The categories of manuscript include original articles, review and perspective articles, case studies, brief case reports, and letters to the editor.

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Front cover image: Use of immunocytochemistry in differential diagnosis of hematolymphoid malignancy involving body fluid (p181).

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# Lymphoproliferative disorder involving body fluid: diagnostic approaches and roles of ancillary studies

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Lymphocyte-rich effusions represent benign reactive process or neoplastic condition. Involvement of lymphoproliferative disease in body cavity is not uncommon, and it often causes diagnostic challenge. In this review, we suggest a practical diagnostic approach toward lymphocyte-rich effusions, share representative cases, and discuss the utility of ancillary tests. Cytomorphologic features favoring neoplastic condition include high cellularity, cellular atypia/pleomorphism, monomorphic cell population, and frequent apoptosis, whereas lack of atypia, polymorphic cell population, and predominance of small T cells usually represent benign reactive process. Involvement of non-hematolymphoid malignant cells in body fluid should be ruled out first, followed by categorization of the samples into either small/medium-sized cell dominant or large-sized cell dominant fluid. Small/medium-sized cell dominant effusions require ancillary tests when either cellular atypia or history/clinical suspicion of lymphoproliferative disease is present. Large-sized cell dominant effusions usually suggest neoplastic condition, however, in the settings of initial presentation or low overall cellularity, ancillary studies are helpful for more clarification. Ancillary tests including immunocytochemistry, in situ hybridization, clonality test, and next-generation sequencing can be performed using cytologic preparations. Throughout the diagnostic process, proper review of clinical history, cytomorphologic examination, and application of adequate ancillary tests are key elements for successful diagnosis.

Key Words: Cytology; Body fluid; Malignant lymphoma; Lymphoproliferative disorder; Immunocytochemistry; Polymerase chain reaction; Next-generation sequencing

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Effusions in the body cavities occur as the common complication in patients with various types of diseases including benign condition and malignancy. Among the cytologically proven malignant body fluid effusions, involvement of malignant lymphoma is not uncommon. It is reported that about 10%–15% of all malignant pleural effusions and ascites were caused by malignant lymphoma [1-4], after more common causes including carcinomas of lung, breast, and ovary.

Lymphocyte-rich effusions pose a diagnostic challenge in cytopathology, because effusions can be caused by non-neoplastic etiology [2], consisting of predominantly small lymphocytes, thereby mimicking low-grade indolent lymphomas [3-5]. It is often hard to discriminate lymphoma cells from reactive activated lymphocytes based on cytomorphology and cellular atypia. In addition, lymphoma is a very heterogeneous disease composed of tens of entities, and thus their cytomorphogical features are highly variable across disease entities and even in a single disease entity as well. Therefore, careful review of clinical history and precise cytologic examination along with proper use of ancillary testings are essential for accurate diagnosis of lymphoproliferative disease (LPD) involving body fluid. In this review, we aim to discuss the clinical pathological characteristics, diagnostic strategies, ancillary studies, and special considerations regarding LPD involving body fluid. It should be noted that specific diagnosis of hematolymphoid malignancy diagnosis should be based on tissue material and is not necessarily the scope of cytologic diagnosis in practice, except for a few rare conditions (i.e., lymphoma initially or solely presented with effusion, such as primary vitreoretinal lymphoma). However, understanding of unique cytomorphology of lymphoma entities may be very helpful and thus will be partly addressed in this review.

# CLINICOPATHOLOGICAL CHARACTERISTIC OF LYMPHOPROLIFERATIVE DISEASE INVOLVING BODY FLUID

Body fluid effusions are common complication of LPD and are generally associated with poor prognosis [6-8]. Pleural effusion complicates about 6%–20% of patients with non-Hodgkin lymphoma (NHL), where the proportion is higher with mediastinal involvement [9,10]. Significant age variation is reported in lymphomatous effusions; LPD accounted for the most common cause of malignant body fluid in the children under age 18 years, while only a minority of malignant pleural effusion was caused by LPD in the patients over 40 years of age [3].

Lymphomatous effusions can be either primary or secondary. Primary effusion lymphoma (PEL) is a rare disease entity presenting as serous effusions without detectable nodal disease or tumor masses, which will be discussed later in this review. Secondary involvement of body fluid by LPDs are much more common than PEL. A study in a tertiary medical center in Taiwan [11] reported that the lymphomatous effusions were more commonly caused by B-cell NHL (27/36, 75.0%) than T-cell NHL (8/36, 22.2%) or classic Hodgkin lymphoma (1/36, 2.8%). Most common causes included diffuse large B-cell lymphomas (DLBCL) and high-grade B-cell lymphomas (16/36, 44.4%), followed by peripheral T-cell lymphomas (6/36, 16.7%) and mantle cell lymphomas (MCL) (5/36, 13.9%) [11].

To have a glance at the real world data of lymphomatous effusions, we collected the consecutive cases of cytologically diagnosed LPDs involving body fluid and cerebrospinal fluid (CSF) in Seoul National University Hospital in the year 2020 (Table 1). Samples definitely diagnosed with involvement of hematolymphoid malignancy were included, and those with indeterminate diagnosis such as 'atypical cells' were excluded from the collection to provide clearer view. Our results on body fluid also showed the predominance of B-cell NHLs (12/24, 50.0%) including DLBCLs and high-grade B-cell lymphomas. On the other hand, CSF involvement by leukemic conditions (9/17, 52.9%) outweighed that by lymphoid neoplasm (7/17, 41.2%) or myeloma (1/17, 5.9%).

# DIAGNOSTIC APPROACH TO LYMPHOPROLIFERATIVE DISEASES INVOLVING BODY FLUID

Previous studies have proposed various diagnostic algorithms

 
 Table 1. Subtypes of hematolymphoid malignancies in body fluid and CSF diagnosed at Seoul National University Hospital in 2020

	No. (%)
Body fluid	
B-cell NHL	12 (50.0)
DLBCL/LBCL	7 (29.2)
HG B-NHL	2 (8.3)
MCL	1 (4.2)
Effusion-based lymphoma	2 (8.3)
T-cell NHL	3 (12.5)
T-prolymphocytic leukemia	3 (12.5)
cHL	1 (4.2)
Multiple myeloma	3 (12.5)
Leukemia	5 (20.8)
AML	3 (12.5)
T-LBL	2 (8.3)
Total	24 (100)
CSF	
B-cell NHL	7 (41.2)
DLBCL/PCNSL	5 (29.4)
HG B-NHL	1 (5.9)
BL	1 (5.9)
T-cell NHL	0
cHL	0
Multiple myeloma	1 (5.9)
Leukemia	9 (52.9)
B-ALL	5 (29.4)
AML	3 (17.6)
BPDCN	1 (5.9)
Total	17 (100)

CSF, cerebrospinal fluid; NHL, non-Hodgkin lymphoma; DLBCL, diffuse large B-cell lymphoma; LBCL, large B-cell lymphoma; HG B-NHL, high-grade B-cell non-Hodgkin lymphoma; MCL, mantle cell lymphoma; cHL, classic Hodgkin lymphoma; AML, acute myelogenous leukemia; T-LBL, T-cell lymphoblastic lymphoma; PCNSL, primary central nervous system lymphoma; BL, Burkitt lymphoma; B-ALL, B-cell acute lymphoblastic leukemia; BPDCN, blastic plasmacytoid dendritic cell neoplasm.

for lymphocyte-rich effusions. Chen et al. [12] presented an algorithmic approach based on the cell size (large, intermediate, and small) and morphologic features (Reed-Sternberg like and plasmacytoid), along with immunohistochemical panel and genomic assays for proper subtyping of LPDs in body fluid. Gochhait et al. [13] also suggested cell sized based triage using a 3-tier (large, intermediate, and small) system for initial classification, whereas more practical 2-tier (small and large) scheme was recently introduced [5]. We consider that 2-tier scheme would be easier to apply in daily practice, particularly for pathologist other than hematopathologist, therefore, we suggest a modified diagnostic algorithm as depicted in Fig. 1.

First, it is important to acknowledge that non-hematolymphoid malignant cells in body fluid specimens can masquerade as lymphocyte-rich effusions. Specific subtypes of non-hemato-



Fig. 1. A practical diagnostic approach toward lymphocyte-rich effusion. Cell sized based initial triage is useful approach when dealing with lymphocyte-rich effusion. Small/medium-sized cell dominant effusions require ancillary testings when either obvious cellular atypia or history of lymphoproliferative disease is present. Large-sized cell dominant effusions usually suggest pathologic fluid accumulation, however, in the settings of initial presentation or low overall cellularity, ancillary studies are helpful for further clarification.

logic cancers often showing discohesive cells include invasive lobular carcinoma of breast [14], small cell lung carcinoma [15], gastric signet ring cell carcinoma [16,17], malignant melanoma [18], and small round cell sarcomas [19] including Ewing sarcoma and rhabdomyosarcoma. Careful review of clinical history and cytomorphology is crucial for differential diagnosis; the cytomorphology of discohesive non-hematolymphoid malignant effusion will not be elaborated in this review. Adjunctive testings including immunocytochemistry (ICC) using cell blocks (CB) could be helpful for differential diagnosis, which will be discussed in detail in ancillary tests section.

After ruling out the possibility of non-hematolymphoid malignant cells in body fluid specimens, we suggest starting with the assessment of the size of the lymphocytes in the effusion fluid and categorize the samples into either small/medium-sized cell dominant or large-sized cell dominant fluid (Table 2, Fig. 1). The criteria for defining cell size are proposed as follows: small/medium-sized cells have diameters less than those of 2 mature lymphocytes or red blood cells (RBCs), while large-sized cells usually have diameters exceeding 3 to 4 times of those of lymphocytes or RBCs.

Representative neoplastic conditions presenting as small/me-

dium-sized cell dominant or large-sized cell dominant fluid are summarized in Table 2 (right column). However, it should be emphasized that classification of hematolymphoid malignancy other than PEL using fluid is beyond the scope of cytologic diagnosis in practice.

# Body fluid rich in small/medium-sized lymphoid cells

When dealing with the effusions having predominantly small/ medium-sized cells, the distinction between neoplastic conditions from reactive lymphocytosis is often very difficult. Numerous physiologic and pathologic processes can produce reactive lymphocytosis, and commonly encountered causes are described in Table 2 and Fig. 2A–C. Careful review of clinical history should be taken to rule out the possibilities of reactive lymphocytosis; conversely, if the patients have history of previous or suspected concurrent LPD, this warrants further investigation with ancillary studies.

Cytomorphological clues indicating a malignant process in small/medium-sized effusions are suggested in Table 3. Cellular atypia usually refers to the following features: irregular nuclear membrane, nuclear folding or cleavage, coarse chromatin or unusual chromatin patterns (e.g., clotted or soccer-ball-like) [5].

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Table 2. Etiology of lymphocyte-rich effusions

Benign lymphocyte-rich effusion	Neoplastic lymphocyte-rich effusion
Infectious cause	Small/medium-sized cells
Tuberculosis	Mantle cell lymphoma
Viral infection	Follicular lymphoma
Fungal infection	Small lymphocytic lymphoma/chronic lymphocytic leukemia
Systemic disease	Lymphoplasmacytic lymphoma
Congestive heart failure	Marginal zone lymphoma
Renal failure	Lymphoblastic lymphoma
Liver cirrhosis	Burkitt lymphoma
Sarcoidosis	Myeloid leukemia
Rheumatoid disease	T/NK-cell lymphoma
Other rare conditions	Large-sized cells
Chylothorax	Diffuse large B-cell lymphoma
Radiotherapy for a malignant disease	Primary effusion lymphoma/effusion-based lymphoma
Dasatinib-induced pleural effusion in patients with leukemia	Multiple myeloma
	Burkitt lymphoma
	Lymphoblastic lymphoma
	Myeloid leukemia
	T/NK-cell lymphoma

NK, natural killer.

However, one should note that these atypical features are hardly recognizable in small/medium-sized lymphocytes in effusion cytology, especially on liquid-based cytology (LBC) specimens [20] where the cells and their nuclei appear smaller than in conventional smears. Nevertheless, when there is significant and obvious cellular atypia in the small/medium-sized cell predominant effusions, further workup including ancillary tests is strongly recommended.

On the other hand, monomorphic appearance of small/medium-sized lymphoid cells with high cellularity usually represents a neoplastic process (Fig. 2D–F), therefore justifying the use of ancillary tests even in the absence of clinical history of LPD. In addition, frequent apoptotic figures also indicate a likelihood of malignant fluid (Fig. 2E).

When small/medium-sized lymphoid cells are dispersed in the polymorphous cellular background, it usually suggests benign reactive process, especially when combined with large centroblasts, immunoblasts, and plasma cells. However, when medium to large plasmacytoid cells are predominant cellular components, outnumbering all other cell types, careful consideration should be taken to rule out the possibility of plasma cell neoplasm. Thorough review of clinical features and assessment of nuclear atypia would aid in the differential diagnosis.

# Body fluid rich in large-sized lymphoid cells

Large cell dominant (particularly monomorphic) lymphoid effusions almost always suggest pathologic conditions, therefore diagnostic steps on these fluids are easier to approach. In many cases, large cells show significant cytologic atypia and the background usually exhibit degenerated cell debris, necrosis and apoptosis. DLBCL is the most common cause of secondary large cell predominant lymphoid effusions, and often suggest poor prognosis (Fig. 3A, B) [7]. Less common causes of body fluids rich in large cells include leukemic conditions (Fig. 3C), and T- or natural killer (NK)-cell lineage lymphomas of certain entities which often show twisted, convoluted or multi-lobated nuclei and nuclear folding or grooving (Fig. 3D). In many cases, the diagnosis of large cell predominant lymphoid effusions is followed by ancillary studies for accurate subtyping, if required, and prognostic stratification, which will be further discussed in ancillary tests section.

# SPECIFIC DISEASE ENTITIES

# Primary effusion lymphoma

PEL is a unique type of large B-cell lymphoma presenting solely as serous effusion in body cavity without tumor masses, lymphadenopathy or organomegaly, usually associated with immunodeficient condition (as represented by human immunodeficiency virus [HIV] infection). PEL is almost always associated with human herpes virus 8 (HHV8) and may be co-infected with Epstein-Barr virus (EBV). It usually confers extremely unfavorable prognosis, with expected median survival of less than 6 months [21].

According to a recent nationwide study, PEL accounts for 0.1% of all malignant lymphoma in Republic of Korea [21,22]. Typically, PEL is composed of large size B-cell with immunoblastic, plasmablastic or anaplastic morphology and shows post-germinal center plasmablastic differentiation. A typical example is shown in the Fig. 4A. A 79-year-old male patient with a history



Fig. 2. Differential diagnosis of small/medium-sized cell predominant effusion. Reactive lymphocytosis due to infectious process (A, ascitic fluid from a 64-year-old female with peritoneal tuberculosis) or hemodynamic condition (B, pleural fluid from a 59-year-old male who underwent lung transplantation) result in producing small/medium-sized cells. Chylothorax is another uncommon condition showing small/medium-sized lymphocyte-predominant body fluid (C, pleural fluid from a 50-year-old male). In neoplastic conditions, the overall cellularity of small/medium-sized cells are very high and often accompanied by apoptotic bodies, as shown in the pericardial fluid from a 72-year-old male with known mantle cell lymphoma (D) and ascitic fluid from a 46-year-old male with Burkitt lymphoma (E, inset showing H&E staining of the tumor). The site of fluid accumulation also gives a clue, especially cerebrospinal fluid (CSF) where high cellular composition almost always suggests a pathologic condition (F, CSF from a 42-year-old female with B-acute lymphoblastic leukemia).

of prostate cancer presented with dyspnea on exertion, where large amount of pleural effusion was noted. On systemic work-up, there was no evidence of solid tumor mass or lymphadenopathy. Drained pleural fluid was composed of numerous large lymphoid cells with many apoptotic bodies, and subsequent ICC on CB revealed CD45-positive, CD20-negative, CD38-positive and HHV8-positive immunophenotype, consistent with PEL.

Recently, further subtyping of PEL was suggested, namely PEL type I and type II [23]. In contrast to the classic type I PEL, type

II PEL (also called effusion-based lymphoma) is characterized by frequent expression of pan B-cell markers (e.g., CD20 and CD79a) and less plasmablastic differentiation; most importantly, type II is often HHV8-negative and rarely associated with HIV. Clinically, type II PEL is rather associated with medial conditions which lead to fluid overload states, including hepatitis C viral infection. We also experienced a case of HHV8-negative effusionbased large B-cell lymphoma (Fig. 4B). Type II PEL also confers poor prognosis [23-25], despite a little better than the type I.

# Dasatinib-induced effusion

Another unique entity presenting as body cavity-based lymphocyte-rich effusion is dasatinib-induced effusion. Dasatinib is the second-generation tyrosine kinase inhibitor used for the treat-

 
 Table 3. Cytomorphologic clues for distinction between reactive and neoplastic process in small/medium-sized cell predominant fluids

Favor reactive	Favor neoplastic
Lack of atypia	High cellularity
Polymorphic cells	Cellular atypia/pleomorphism
Predominantly small-sized T cells	Monomorphic cells
	Frequent mitoses and apoptosis

ment of patients with *BCR-ABL* translocation positive chronic myelogenous leukemia (CML) [26]. The abnormal accumulation of body fluid occurs in about 20% to 40% of patients with CML receiving dasatinib, where severe forms develop in 3% to 7% of patients [27,28]. The effusion is composed of clonal proliferation of cytotoxic T- or NK-cells. Although the pathogenesis is unclear, several hypotheses are suggested including increased vascular permeability due to kinase inhibition or immune-mediated reaction [27]. Temporary reduction of dasatinib dosage or short-term steroid therapy usually alleviate the effusion, therefore, it is very important to recognize the lympho-dominant nature of the fluid, thus, not to be confused with the secondary leukemic involve-



Fig. 3. Differential diagnosis of large-sized cell predominant effusion. Ascitic fluid drained from a 60-year-old male patient with heavily treated diffuse large B-cell lymphoma (DLBCL) has numerous large, atypical cells in the background of apoptotic bodies (A). Another malignant effusion from a DLBCL patient show predominantly immunoblastic morphology, highlighted by central, prominent nucleoli (B). A 42-year-old male with known myeloid sarcoma presented with pleural fluid, where numerous atypical cells were observed, representing a rare cause of malignant effusion (C). Another rare cause of malignant pleural effusion included a 74-year-old female patient with monomorphic epitheliotropic intestinal T-cell lymphoma involving colon and lung (D). Note the peculiar cellular appearance including folded nuclei and cytoplasmic tails (D, inset).



Fig. 4. Primary effusion lymphoma and effusion-based large B-cell lymphoma. For the differential diagnosis of large-sized cell dominant fluid effusion, immunocytochemistry was performed, which revealed immunophenotype consistent with primary effusion lymphoma (A). A case of effusion-based large B-cell lymphoma, also known as type II primary effusion lymphoma, is depicted (B). Note the distinct immunophenotype including negativity for human herpes virus 8 (HHV8) and Epstein-Barr virus (EBV) in situ hybridization.

ment in patients with CML treated with dasatinib.

# Breast implant associated anaplastic large cell lymphoma

Breast implant associated anaplastic large cell lymphoma (BI-ALCL) is a rare type of non-Hodgkin T-cell lymphoma occurring in patients who underwent breast augmentation procedure [29-31]. About two thirds of patients present with effusion around the implant, after 9 years from implantation on average [29]. Aspiration fluid cytology evaluation is an effective and minimally invasive method for the diagnosis of BI-ALCL [32].

Aspirated fluid from the patients with BI-ALCL usually shows hypercellular population of large atypical lymphoid cells with lobulated nuclei [33]. Cytoplasmic vacuolizations can be extensive, and necrotic or fibrinoid background can be a distinguishing feature from 'benign seroma' composed of transudate fluid. When BI-ALCL is suspected, CB preparation is recommended for ancillary studies [33].

# **ANCILLARY TESTS**

# Concerns regarding the cytology preparation

Body fluid specimen drawn from the patients can be processed for adequate evaluation using variable methods including conventional smears, cytospins, CBs and LBC [34]. Most of the reports comparing the diagnostic performance of variable preparations showed that the newer technology-LBC-is comparable to that of conventional methods [34-37]. Specifically, LBC usually result in better cell yield, provide easier examination since cells are evenly dispersed in a small area, with minimal drying artifact and erythrocytes obscuring examination. However, some technical disadvantages of LBC preparation should be kept in mind. During the process, morphologic alterations including cell/nuclear size shrinkage and architecture disruption can occur [38]. Despite these disadvantages, stored LBC material can be processed for CBs, immunostainings and molecular genetic analyses up to 3 or 4 months [34], therefore, LBC preparation is a preferred method with many additional advantages with comparable diagnostic efficacy.

# CB and hematoxylin and eosin stain

When dealing with effusions from patients with known history or clinical suspicion of LPD, or those rich in large-sized lymphoid cells, it is important to preserve a portion of specimen to establish CB with appropriate preparation method. Since the most of procedures in pathology laboratories are based on the use of formalin fixed paraffin embedded (FFPE), CBs can readily be handled with ease. In addition, CB specimens retain the cytomorphologic features and antigenicity for further microscopic examination and ICC studies [39,40].

# Immunocytochemistry

ICC is the most commonly used ancillary study for the diagnosis of lymphocyte-rich effusion. The ICC stains retain the cytomorphologic features and expression of certain markers help the confirmatory diagnosis in some entities, i.e., HHV8 expression in PELs. In many cases, panels of multiple items including lineage markers are required for the diagnosis of LPD in effusion cytology.

Fig. 5A shows pleural effusion fluid from a 77-year-old man, where medium-sized lymphoid cells were predominant. CB was prepared and subsequent ICC revealed CD20-positivity, suggesting the possibility of B-cell lymphoma. The cells were negative for cyclin D1 but SOX11 positive, rendering the diagnosis of lymphoma, consistent with MCL. Fig. 5B is another pleural fluid specimen drained from a 46-year-old male, and numerous MUM1 positive plasmacytoid cells having abundant cytoplasm and eccentric nuclei are observed. The cells were diffusely positive for lambda while negative for kappa; this light chain restriction revealed by ICC aids in confirming the neoplastic nature of the fluid and involvement of patient's underlying multiple myeloma.

# Flow cytometry

Body fluid effusions are often suitable samples for flow cytometry (FC), given the samples are not fixed by alcohol or formalin. FC-based analysis is an extremely useful method when dealing with differential diagnosis of effusion-based hematolymphoid malignancy, because this technology provides a reproducible, quantitative and sensitive immunophenotyping of cells, using fluorescent-tagged antibodies targeting surface markers [5,41-44]. Similar to ICC, FC analysis using a panel of antibodies can provide diagnostic clues in lymphoid malignancies. One important consideration should be noted; since the reactive lymphocyte-rich effusions are often T-cell predominant, T-cell abundancy shown by FC does not guarantee the diagnosis of T-cell lymphoma [5,41].

Though rarer than lymphoid diseases, FC also serves important roles in leukemic conditions [41,43]. For instance, involvement of chronic lymphocytic leukemia (CLL) on body fluid can be very difficult to distinguish from reactive lymphocytosis. When there is clinical history or suspicion for CLL, FC is strongly recommended, and documentation of CD19+, CD20+ B-cell with CD5 or CD23 expression can qualify the diagnosis of CLL involvement [43].



Fig. 5. Use of immunocytochemistry in differential diagnosis of hematolymphoid malignancy involving body fluid. A 77-year-old male patient had a large amount of pleural effusion composed of small/medium-sized atypical lymphoid cells with CD20 and SOX11 expression, consistent with the involvement of mantle cell lymphoma (A). Numerous atypical large plasmacytoid cells with lambda restriction on immunocyto-chemistry suffices for the diagnosis of myelomatous effusion (B).

# **Clonality studies**

Many studies have shown the efficacy of polymerase chain reaction (PCR) for the assessment of immunoglobulin heavy chain (IgH) gene rearrangements or TCR $\gamma$  gene rearrangements. Recently, clonality tests in many pathology laboratories are readily performed using BIOMED-2 assay [45,46]. Many studies have reported that detecting clonality is useful for the differential diagnosis between reactive lymphocytosis and lymphomatous fluid [47-51].

Fig. 6A shows a case where clonality testing was very helpful in the diagnosis. A 61-year-old female was diagnosed with primary central nervous system (CNS) DLBCL by stereotactic biopsy, and her CSF contained a few atypical lymphoid cells (Fig. 6A). B-cell monoclonality was found in IgH gene rearrangement study, strongly suggesting the involvement of DLBCL.

Reactive effusions tend to be T-cell predominant, therefore, T-cell clonality test is often required to confirm involvement of T-cell LPD in body fluids [5,52]. T-cell prolymphocytic leukemia (T-PLL) can rarely involve extramedullary sites [53], and nodal involvement was diagnosed in a 63-year-old female patient (Fig. 6B). The patient developed ascites, and leukemic involvement was suspected. Cytomorphologically, some small/ medium-sized lymphocytes were observed and the overall cellularity was not remarkable; however, TCRγ gene rearrangement test proved monoclonal T-cell proliferation, supporting the involvement of T-PLL of patient.

One of the practically useful tips for correct interpretation is to consider comparing the clonality testing result from cytology specimen with that from the tissue specimen. If the both results show clonal peaks/bands with approximately same size, the diagnostic power of lymphomatous effusion could be strengthened.

Clonality test could be useful for the diagnosis of CSF cytology in patients with malignant lymphoma. Review of 101 CSF samples from patients with B-cell lymphoma diagnosed in Seoul National University Hospital from 2015 to 2017 showed that monoclonality by IgH gene rearrangement study using BIOMED-2 assay was detected in 42.9% of cytomorphologically atypical/ positive CSF and as much as 18.2% of cytomorphologically nega-

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tive CSF specimens (Table 4). While clonality tests are very helpful, a specific consideration should be taken when interpreting the test performed on CSF specimen. Since the overall cellularity in CSF specimen is usually very low, high sensitivity of PCR test may result in false positive pseudoclonality due to selective amplification of certain non-neoplastic clones. According to our internal review of CSF cytology from73 patients with B-cell lymphoma and active brain (leptomeningeal and/or parenchymal)



**Fig. 6.** Use of molecular tests in the diagnosis of lymphocyte-rich effusion. Few atypical large cells were observed in the cerebrospinal fluid from a patient with diffuse large B-cell lymphoma (DLBCL). B-cell monoclonality was documented by polymerase chain reaction (PCR)– based clonality assay, confirming the central nervous system involvement of lymphoma (A). A 63-year-old woman with known T-prolympho-cytic leukemia presented with ascitic fluid containing monoclonal T-cell proliferation, suggesting the body cavity involvement (B). A 74-year-old male patient was diagnosed with gastric DLBCL with secondary involvement into ascitic fluid. (C, left) Cytologic preparations are suitable materials for high-throughput genetic analysis, and we found *IGH-MYC* translocation with sufficient supporting reads mapped into chromosomes 8 and 14 (C, right).

Table 4. Comparison of CSF cytology and PCR-based clonality
assay using BIOMED-2 assay for IgH gene rearrangement in pa-
tients with B-cell lymphoma

Outomorphology	lgH ge	Total	
Cytomorphology -	Polyclonal	Monoclonal	- 10181
Negative	54 (81.8)	12 (18.2)	66 (65.3)
Atypical/Positive	20 (57.1)	15 (42.9)	35 (34.7)
Total	74 (73.3)	27 (26.7)	101 (100)

Values are presented as number (%).

PCR, polymerase chain reaction.

disease on neuroimaging (i.e., magnetic resonance imaging), rate of positive/atypical cytology was 35.6% and B-cell monoclonality was detected in 32.9%. The combined interpretation of both cytomorphology and clonality test resulted in a higher concordance up to 50.7% with neuroimaging results. Thus, retrieval of proper clinical and radiological information should accompany the interpretation of molecular study when dealing with CSF cytology.

# In situ hybridization

As in histopathologic diagnosis using FFPE specimens, EBV in situ hybridization can readily be performed on cytological preparations including CB [54]. Identification of EBV is extremely valuable in characterization of certain EBV-associated LPDs, for example, EBV-positive DLBCLs, extranodal NK/T-cell lymphomas or PEL.

Fluorescent in situ hybridization (FISH) is another valuable tool for documentation of genetic alterations. Feasibility of FISH on cytologic preparation is well studied in several studies on solid tumors [51,55-65]. Detection of hallmark genomic variations direct leads to final diagnosis, for example, documentation of *BCL2* translocation in follicular lymphoma or *MYC* translocation in Burkitt lymphoma. Prognostic stratification of high-grade B-cell lymphomas can also be achieved by finding *MYC* or *BCL2* translocation. Development of many commercially available diagnostic FISH probes would enable characterization of less common structural variations, for instance, *IRF4/DUSP22* or *TP63* translocations.

# Mutational analysis and next-generation sequencing

Recent advances in genomic profiling technologies dramatically accelerated precision diagnosis in daily practice. Multiple techniques including direct sequencing, real-time PCR, pyrosequencing have been performed on cytologic preparations, most notably on effusion fluids from the patients with non-small cell lung cancer [65-70]. Clinically meaningful disease-specific genetic alterations have been uncovered in the majority of lymphoma entities [71]. For instance, detection of *MYD88* L265P mutation using allele-specific PCR assay has been proven its efficacy on differentiating lymphoplasmacytic lymphomas [72,73] from other types of low-grade B-cell lymphomas. Recently, genetic classification of DLBCL has been recently introduced, and unique genomic landscape of certain DLBCL entities has been identified. For example, primary CNS lymphoma (PCNSL) and primary vitreoretinal lymphoma are characterized by frequent mutations in *MYD88* and *CD79B*. Thus, clonality test and/or *MYD88* mutation analysis would be helpful to determine the CSF involvement of PCNSL in equivocal cases based on cytomorphology only. In a similar vein, clonality test and/or *MYD88* mutation analysis would be informative in the diagnosis of vitreoretinal lymphoma using cytology specimen (e.g., vitreoretinal fluid) [74-76].

Single gene assays are being gradually replaced by next-generation sequencing (NGS), which enables testing for multiple genetic alterations on a single platform. Accumulating evidences suggest that various types of cytological preparations—smears, cytospins, liquid-based preparations and CBs—can be used for NGS-based assays with equivalent performance [77,78]. Some recommend that a portion of cytology specimens be reserved before CB preparation, for formalin fixation during the process my cause DNA cross-linkage and fragmentation [79-81].

Clinical utility of NGS-based assay on LPDs has been suggested by recent studies, both in diagnostic and prognostic perspectives [82-85]. One example is a report of angioimmunoblastic T-cell lymphoma [86], of which the primary diagnosis was made on pleural effusion fluid by detecting pathognomic *RHOA* G17V mutation by targeted NGS as well as immunophenotyping on CB. Fig. 6C shows another example that NGS-based assay helped in the genomic characterization and prognostic stratification of a patient with lymphoma. A 74-year-old male was diagnosed with gastric DLBCL of non-germinal center B-cell immunophenotype, and the patient developed ascites during the course. Targeted NGS using customized panel encompassing 121 lymphoma-related genes was performed, which revealed *IGH-MYC* translocation. In addition, *TET2* truncating mutations and heterozygous deletions of *CDKN2A* and *CDKN2B* were also found.

In some instances, cytologic specimens may be the only available resource for diagnosis and genomic profiling, therefore, the importance of cytologic preparation in NGS-based practice would be further expanded [81,87].

# CONCLUSION

LPDs can frequently involve body cavities, which can pose significant diagnostic challenge to pathologists. Along with proper review of clinical history, cytomorphologic examination is the key process. Triaging the lymphocyte-rich effusions into small/medium-sized cell predominant and large-cell predominant patterns is a useful diagnostic approach. Since the distinction between reactive lymphocytosis and pathologic LPD is seldom possible based on cytologic atypia alone, ancillary tests guided by cytomorphology and clinical information are crucial. In addition to conventional ancillary testings including ICC and clonality assays, recent advances in NGS technology will further aid in retrieval of precious information from cytology specimens.

# **Ethics Statement**

Not applicable.

# Availability of Data and Material

The datasets generated or analyzed during the study are available from the corresponding author on reasonable request.

# **Code Availability**

Not applicable.

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Conceptualization: YKJ. Figures and data: SAS, JAL. Writing—original draft: JK. Writing—review & editing: JK, YKJ. Approval of final manuscript: all authors.

# **Conflicts of Interest**

The authors declare that they have no potential conflicts of interest.

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# Clinicopathologic features and survival outcomes of ocular melanoma: a series of 31 cases from a tertiary university hospital

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Background: We aimed to determine the effect of clinicopathologic features on overall survival among Caucasian ocular melanoma patients in the Central Anatolia region of Turkey. Methods: This single-center study included conjunctival (n = 12) and uveal (n = 19) melanoma patients diagnosed between January 2008 and March 2020. Clinicopathologic features and outcomes were reviewed retrospectively. Five cases were tested for BRAF V600 mutations with real-time polymerase chain reaction, and one case was tested with nextgeneration sequencing. Survival was calculated using the Kaplan-Meier method. Results: Thirty-one patients had a mean initial age of 58.32 years (median, 61 years; range 25 to 78 years). There were 13 male and 18 female patients. The median follow-up time was 43.5 months (range, 6 to 155 months) for conjunctival melanoma and 35 months (range, 8 to 151 months) for uveal melanoma. When this study ended, eight of the 12 conjunctival melanoma patients (66.7%) and nine of the 19 uveal melanoma patients (47.4%) had died. The presence of tumor-infiltrating lymphocytes was related to improved overall survival in conjunctival melanoma (p = .014), whereas the presence of ulceration (p=.030), lymphovascular invasion (p=.051), tumor in the left eye (p=.012), tumor thickness of >2 mm (p=.012), and mitotic count of >1/mm<sup>2</sup> (p=.012) reduced the overall survival in conjunctival melanoma. Uveal melanoma tumors with the largest diameter of 9.1–15 mm led to the lowest overall survival among subgroups (p = .035). Involvement of the conjunctiva (p=.005) and lens (p=.003) diminished overall survival in uveal melanoma. BRAF V600 mutation was present in one case of conjunctival melanoma, GNAQ R183Q mutation was present in one case of uveal melanoma. Patients with uveal melanoma presented with an advanced pathological tumor stage compared to those with conjunctival melanoma (p=.019). Conclusions: This study confirmed the presence of tumor-infiltrating lymphocytes as a favorable factor in conjunctival melanoma and conjunctival and lens involvement as unfavorable prognostic factors in uveal melanoma for overall survival, respectively.

Key Words: Uveal melanoma; Conjunctival melanoma; Ocular melanoma; Overall survival; Histopathology

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Two types of ocular melanoma exist: uveal melanoma (UM) and conjunctival melanoma (CM) [1]. UM represents 79%–91% of all ocular melanomas [2], whereas CM represents < 10% [3]. The incidence of CM in the white population is 0.2–0.8 cases/ million [3]. The incidence of UM in the American and European populations is 5–6 cases/million, while it is 7 cases/million in Australia [4]. UM is most commonly seen in Caucasians, followed by Hispanics, Asians, and Blacks, with decreasing frequency [5]. UM's incidence has been relatively stable over the years [6]; however, CM's incidence has shown a rising trend similar to that of cutaneous melanoma [3,7-11]. Although CM is rare, it has the potential to metastasize not only to the eye, eyelid, orbit, and surrounding lymphatics but also to distant sites like the lungs,

skin, liver, and brain [12]. The 10-year mortality rate for UM is 50% in the U.S. and higher than other melanoma subtypes [2,13]. Although ocular melanoma is rare, its morbidity is also high, leading to vision loss even in surviving patients. Thus, this study aimed to evaluate the effects of clinicopathologic features on overall survival (OS) among ocular melanoma patients and discuss the relevant literature to highlight some aspects of these deadly diseases.

# MATERIALS AND METHODS

This single-center study included CM (n = 12) and UM (n = 19) patients diagnosed between January 2008 and March 2020.

The final follow-up was conducted by April 2021. Clinicopathologic and outcome data from electronic medical records were reviewed retrospectively.

Baseline clinical variables assessed included age, sex, location and laterality of the tumor, primary surgical treatment, known comorbidities. Pathologic features of each tumor were reviewed primarily from archival slides stained with hematoxylin and eosin and pathology reports. Any histochemical, immunohistochemical, and molecular studies performed at the time of diagnosis were recorded. Real-time polymerase chain reaction (RT-PCR) for BRAF V600 mutation was tested using either the AmoyDx BRAF V600 mutations detection kit (Amoy Diagnostics Co., Xiamen, China) or Cobas BRAF V600 mutation kit (Roche, Pleasanton, CA, USA). Next-generation sequencing (NGS) with Qiagen GeneReader workflows (Qiagen, Hilden, Germany) was performed according to the manufacturer's protocol by isolating DNA from the enucleation specimen for UM. Pathological tumor (pT) staging was performed according to the eighth edition of the American Joint Committee on Cancer (AJCC) staging system for UM and CM [14]. Microscopic satellitosis was evaluated as a separated tumor nest from the primary tumor by a normal stroma as described previously by Esmaeli et al. [15]. Infiltration of the iris, ciliary body, anterior chamber, Schlemm canal, lens, posterior chamber, choroid, retina, vitreous, sclera, optic disc, and conjunctiva was evaluated in UM patients [16]. Growth pattern (solid mass, dome shape, mushroom shape [17], or diffuse [18]) was classified as previously described for UM cases [16]. Tumor diameter [16], histologic type and grade [19], and nucleolar prominence were additionally assessed as histopathologic parameters in UM cases.

OS time was calculated as the time from diagnosis to death from any cause or to last follow-up (for surviving patients) within each group (CM and UM) separately. Kaplan-Meier survival analyses and log-rank tests were conducted to identify statistically significant univariable predictors of OS using the SPSS ver. 23 (IBM Corp., Armonk, NY, USA). The statistically significant difference between groups was determined as p < .05. The frequencies of common categorical variables for UM and CM were compared using the 2-tailed Fisher exact test.

# RESULTS

# Ocular melanoma patient demographics and clinical history

Thirty-one patients had a mean initial age of  $58.32 \pm 0.50$  years (median, 61 years; range, 25 to 78 years). There were 13 male and 18 female patients. In terms of tumor site, the tumor was

located at the uvea for 19 patients (61%) (choroidal, n = 16; ciliary body, n = 2; iris, n = 1), conjunctiva for nine patients (29%) (bulbar, n = 5; palpebral, n = 3; unknown, n = 1), and eyelid for three patients (10%). Primary treatment most commonly included enucleation (n = 3 for CM, n = 17 for UM), followed by local excision (n = 6 for CM, n = 2 for UM), and exenteration (n = 3 for CM).

# Conjunctival melanoma

Table 1 represents the main clinicopathologic characteristics of CM cases and their relationship to OS. At diagnosis, the mean age was  $56.08 \pm 11.21$  years (median, 57.5 years; range, 35 to 74 years). The median follow-up time was 43.5 months and ranged from 6–155 months. When this study ended, eight of the 12 patients (66.7%) had died (Fig. 1A). Three patients with left-sided CM had a reduced OS compared to right-sided patients (p = .012) (Fig. 1B).

Histopathologically, three cases with CM were identical to superficial spreading melanoma, suggesting a role of cumulative sun damage similar to pathway I of the skin melanoma classification [1]. One CM showed histologically nodular melanoma features (Fig. 2A). The epithelioid type was the most common cell type (n = 9/12, 75%). Ulceration was present in two cases and related to poor outcomes (p = .030) (Fig. 1C). The majority of CM tumors were mildly pigmented. The presence of tumor-infiltrating lymphocytes (TILs) was associated with improved OS (p = .014) in CM (Figs. 1D, 2B, C).

Necrosis was present in four of the CM cases. No mortality occurred in tumors with a thickness of  $\leq 2 \text{ mm}$  (p = .012). A mitotic count of  $\leq 1/mm^2$  was significantly related to improved OS (p = .012). A lower mitotic count and tumor thickness were shared between three identical cases; expectedly, their effects on OS were similar. Lymphovascular invasion (LVI) was identified in eight of the CM cases and associated with reduced OS (p = .051) (Fig. 1E). Although microscopic satellitosis and surgical margin positivity reduced the OS, they did not statistically significantly affect it. Based on the eighth edition of AJCC staging for CM [14], the tumors were staged as pT1a in one (8.3%), pT1b in four (33.3%), pT2a in two (16.7%), pT2b in one (8.3%), pT3b in one (8.3%), pT3c in two (16.7%), and pT3d in one (8.3%) case (s), respectively. No case presented with central nervous system involvement (pT4). Tumors of any size with local invasion (pT3) were linked to a decreased OS, although this relationship did not exactly reach statistical significance (p = .056) (Fig. 1F). Regional lymph node metastasis occurred in four (33%) of CM patients; one also had parotid gland metastasis, and another had additional lung metastasis. Three cases underwent BRAF V600 mu-

Table 1. Univariate analysis of predictors for overall survival time (mo) in conjunctival melanoma, calculated from Kaplan-Meier analysis with
comparisons performed with the log-rank test

	No.	Deaths	Mean ± SE (95% CI)	p-value
Overall survival	12	8	71.45±16.73 (38.66–104.25)	N/A
Sex				.575
Male	6	4	45.92±9.36 (27.58-64.26)	
Female	6	4	80.17±24.09 (32.96-127.37)	
Laterality				.012
Right	8	4	95.06±20.43 (55.01-135.10)	
Left	3	3	24.33±6.06 (12.45-36.22)	
Cell type				.399
Epithelioid	9	6	66.97±19.24 (29.27-104.68)	
Spindle	2	1	100.5±27.22 (47.14-153.86)	
Epithelioid+spindle	1	1	24.0±0.0 (24.0-24.0)	
Ulceration				.030
Negative	10	6	81.94±18.35 (45.99–117.90)	
Positive	2	2	19.0±5.0 (9.2–28.8)	
Pigmentation				.420
Low	9	6	77.96±19.06 (40.61-115.32)	
High	3	2	28.33±3.54 (21.40-35.27)	
TIL				.014
Absent	4	4	26.0±9.06 (8.25-43.75)	
Present	8	4	95.63±20.39 (55.65-135.60)	
Necrosis				.343
Absent	8	5	81.21 ± 20.88 (40.29-122.13)	
Present	4	3	40.50±13.34 (14.35-66.65)	
Tumor thickness (mm)				.012
≤2	3	0	N/A	
>2	9	8	N/A	
_ymphovascular invasion				.051
Negative	4	1	122.25±28.36 (66.66–177.84)	
Positive	8	7	43.71±11.01 (22.14-65.28)	
Microscopic satellitosis				.590
Negative	8	5	72.09±17.03 (38.72-105.47)	
Positive	4	3	59.25±29.64 (1.15–117.35)	
Surgical margin				.376
Negative	9	5	83.97±21.09 (42.63-125.31)	
Positive	3	3	49.00±24.54 (0.90-97.10)	
Mitotic count (/mm²)				.012
≤1	3	0	N/A	
>1	9	8	N/A	
рТ				.056
pT1	5	3	85.93±22.98 (40.90-130.97)	
pT2	3	1	100.67±31.30 (39.32–162.01)	
рТ3	4	4	26.50±12.39 (2.21-50.79)	

SE, standard error; CI, confidence interval; N/A, not applicable; TIL, tumor-infiltrating lymphocyte.

tation analysis with RT-PCR. One patient with lymph node metastasis had *BRAF* V600 mutations (Fig. 2); others, including the patient with parotid gland metastasis, were wild-type for *BRAF* V600 mutation.

# Uveal melanoma

Table 2 represents some of the clinicopathologic features of

UM cases investigated in this study and their relationship to OS (Fig. 3). More patients were female (n = 12/19, 63.2%). The mean age at diagnosis was  $59.74 \pm 15.25$  years (median, 63 years; range, 25 to 78 years). Follow-up time ranged from 8–151 months with a median of 35 months. When this study ended, nine of the 19 patients (47.4%) had died (Fig. 3A)

Two UM tumors arose from the iris and ciliary body and were



Fig. 1. Kaplan-Meier overall survival curves in conjunctival melanoma (CM) (A) patients are compared for tumor variables by laterality (B), ulceration (C), tumor-infiltrating lymphocytes (TILs) (D), lymphovascular invasion (LVI) (E), and pathological tumor (pT) staging (F).



**Fig. 2.** (A) A conjunctival melanoma with nodular and well-circumscribed appearance is located in the left-eye nasal side bulbar conjunctiva in a 73-year-old male patient. (B, C) A subepithelial portion of melanoma nodule shows increased vascularization and a moderate amount of tumor-infiltrating lymphocytes. In addition, junctional involvement of the conjunctival epithelium (C, D) and prominent pagetoid spreading (D) are present at the nodule periphery. (D) Monotonous-appearing melanocytes have invaded the stroma in a nested growth pattern and exhibit slight, scattered pigmentation. (E) Beneath the intraepithelial melanocytic proliferation, the conjunctival stroma is invaded by epithelioid melanocytes with large eosinophilic cytoplasm (F) with rare intranuclear eosinophilic pseudo-inclusion (arrow), and prominent nucleoli dominate in this *BRAF* V600 mutant conjunctival melanoma.

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treated with local excision, whereas the remaining patients (n = 17/19, 89.5%) underwent enucleation. The mean largest tumor diameter was 13.68 mm (median, 14 mm; range, 4 to 21 mm).

The UM tumor with the largest diameter interval of 9.1-15 mm led to the lowest OS among subgroups (p = .035) (Fig. 3B). Conjunctiva involvement was present in 1 UM case and

Table 2. Univariate analysis of predictors for overall survival time (mo) in uveal melanoma, calculated from Kaplan-Meier analysis with comparisons performed with the log-rank test

	No.	Deaths	Mean ± SE (95% CI)	p-value
Overall survival	19	9	86.05±15.50 (55.68–116.42)	N/A
Gender				.109
Male	7	5	57.86 ± 22.51 (13.74–101.97)	
Female	12	4	100.67 ± 18.30 (64.80–136.54)	
Surgical treatment				.252
Local excision	2	0	N/A	
Enucleation	17	9	79.45 ± 16.10 (47.89–111.01)	
Laterality				.083
Right	12	4	105.48±18.50 (69.21-141.75)	
Left	7	5	36.86±10.69 (15.91-57.81)	
Largest tumor diameter (mm)				.035
0–9	4	1	$113.33 \pm 30.76 (53.05 - 173.61)^{ab}$	
9.1–15	7	5	$43.14 \pm 16.06 \ (11.66 - 74.63)^a$	
> 15	8	3	99.63±21.00 (58.47-140.78)b	
Scleral involvement				.226
None	10	4	80.93±15.06 (51.41-110.46)	
Intrascleral	5	2	96.20±30.06 (37.28–155.12)	
Extrascleral (largest diameter ≤5 mm)	2	2	21.0±8.0 (5.32-36.68)	
Extrascleral (largest diameter >5 mm)	2	1	91.00±38.89 (14.77-167.23)	
Conjunctiva involvement				.005
Negative	18	8	90.11±15.82 (59.11–121.11)	
Positive	1	1	13.00±0.00 (13.00-13.00)	
Anterior chamber involvement				.134
Negative	15	6	97.26±16.89 (64.16-130.37)	
Positive	4	3	26.50±6.07 (14.60-38.40)	
Ciliary body involvement				.199
Negative	11	4	102.81 ± 19.19 (65.19–140.42)	
Positive	8	5	46.88±16.08 (15.37-78.39)	
lris involvement				.134
Negative	15	6	97.26±16.89 (64.16-130.37)	
Positive	4	3	26.50±6.07 (14.60-38.40)	
Schlemm canal involvement			· · ·	.134
Negative	15	6	97.26±16.89 (64.16-130.37)	
Positive	4	3	26.50±6.07 (14.60–38.40)	
Posterior chamber involvement			· · · · · ·	.098
Negative	12	4	105.48±18.50 (69.21-141.75)	
Positive	7	5	43.14±14.96 (13.82–72.47)	
Lens involvement			· · · · · ·	.003
Negative	14	4	111.26±16.74 (78.44-144.08)	
Positive	5	5	23.80±3.97 (16.02–31.58)	
Optic disc involvement	-	-		.085
Negative	17	7	93.77±16.39 (61.64-125.89)	
Positive	2	2	24.50±4.50 (15.68–33.32)	
Necrosis	-	-		.166
Negative	8	2	113.35±22.61 (69.04–157.66)	.100
Positive	10	6	69.95±19.47 (31.80–108.10)	

SE, standard error; CI, confidence interval; N/A, not applicable.

<sup>a,b</sup>The same letters between groups define no significant difference between them statistically.



Fig. 3. Kaplan-Meier overall survival curves in uveal melanoma (UM) patients (A) compared by largest tumor diameter (B), conjunctival involvement (C), and lens involvement (D).

inversely associated with OS (p=.005) (Fig. 3C). The other involved ocular structures in UM patients were the sclera in nine (47.4%), anterior chamber in four (21.1%), ciliary body in eight (42.1%), iris in four (21.1%), Schlemm canal in four (21.1%), posterior chamber in seven (36.8%), lens in five (26.3%), vitreous in 18 (94.7%), choroid in 17 (89.5%), retina in five (26.3%), and optic disc in two (10.5%) patients, respectively. Even though the involvement of each ocular structure diminished the OS time and probability in UM patients, lens involvement had particular importance statistically (p = .003) (Fig. 3D). Scleral involvement of tumors was confined to the intrascleral area in five (26.3%) UM cases and extended to <5 mm of extrascleral area in two (10.5%)

UM cases or  $\geq 5$  mm of the extrascleral area in two (10.5%) UM cases (p = .226).

The most frequent growth pattern was a dome shape (n = 8/19, 42.1%) (Fig. 4A), followed by a mushroom shape (n = 6/19, 31.6%), diffuse shape (n = 3/19, 15.8%), and a solid mass (n = 2/19, 10.5%). Epithelioid cell melanoma (Fig. 4) was present in 12 cases (63.2%). Based on the eighth edition of AJCC staging for iris [14], ciliary body, and choroid [14] melanomas, pathological tumor stages of cases were pT1b in one (5.3%), pT2b in three (15.8%), pT3 in one (5.3%), pT3a in five (26.3%), pT3b in one (5.3%), pT4a in four (21.1%), pT4d in one (5.3%), and pT4e in two (10.5%), respectively. Pigmen-



Fig. 4. Right enucleation from a 25-year-old female patient revealed a densely pigmented, dome-shaped posterior choroidal melanoma with a basal diameter of 16 mm and a tumor thickness of 3.3 mm (A–C). Effacement of the overlying retinal layer by infiltrating melanocytes (D) (arrow). Densely pigmented atypical melanocytes are arranged around the necrosis reminiscent of pseudo-palisading necrosis (E). A closer look highlights the atypical epithelioid melanocytes with large nuclei and prominent nucleoli (F). This case is wild-type for *BRAF* V600 mutation.

tation was dense and covered > 75% of the UM (Fig. 4) in eight (42.1%) tumors (p = .296). Necrosis was evident in 10 (55.6%) UM cases (p = .166). TILs were present in six UM cases (33.3%) (p = .233). The mitotic count was > 1/mm<sup>2</sup> in seven UM cases (36.8%) (p = .448). Inconspicuous, prominent, and prominent and large nucleoli were present in two (11.1%), eight (44.4%),

and eight (44.4%) UM cases, respectively. *BRAF* V600 mutations were absent in three UM cases tested with RT-PCR (n = 2) and NGS (n = 1). NGS data from the enucleation specimen revealed a mutation in *GNAQ* (guanine nucleotide–binding protein G(q) subunit alpha) exon 4 codon 183 that resulted in a substitution of arginine with glutamine. Additionally, this case was immunohistochemically intact for mismatch repair proteins (MLH1, PMS2, MSH2, and MSH6).

Comparisons between CM and UM cases revealed that pT staging was the only significant difference between them. The variations resulted specifically from the pT1 and pT4 categories when the comparison were broken down further. UM patients presented with an advanced pT category compared to CM patients (p = .019) (Supplementary Table S1).

# DISCUSSION

CM is most common in middle-aged (55–65 years) patients [20], mainly similar to our study. In this study, female and male patients were equal in number. Berta-Antalics et al. [21] reported 80 CM cases among 42 female patients and 38 male patients. Similar to our research, others reported that the most frequently involved site was the bulbar conjunctiva in 60% [21] to 75% [22] of patients.

In this study, UM patients totaled 61.3% of all ocular melanomas, which was a lower percentage than that in previously published reports [2,13]. The 5- and 10-year OS rates for UM were the same and 48.8% (Fig. 3A). Although there was no mortality at 5–10 years, OS probabilities were lower than previously documented [2,23,24]. At the end of this study, all deceased patients (n = 9/19, 47.4%) were within their first five years after diagnosis. In a very long-term ( $\geq$  20 years) follow-up study including 289 UM patients, 239 participants (83%) were deceased at the study's end, and 145 (61%) of these deaths were due to UM [24]. Their 5- and 10-year audited melanoma-specific Kaplan-Meier survival estimates were 68% and 57%, respectively [24]. The differences between our study and the mentioned article could be due to the small sample size in this study.

In our study, female patients (n = 12, 63%) were dominant for UM, consistent with previously published reports [25]. We did not find statistical significance for gender-related OS differences. Shields et al. [26] also reported no association between gender and metastasis or mortality in a comprehensive study for UM. Kaliki et al. [27] divided 122 UM patients into three groups regarding diagnosis age. They reported that patients who were diagnosed at  $\leq$ 20 years had lower metastasis rates than patients who were diagnosed at middle (21–60 years) or elderly (> 60) ages [27]. In our study, there were no patients aged < 20 years old. Besides, we identified no significant difference in OS between age groups by decade.

This study established that a tumor thickness of > 2 mm (p = .012) and ulceration (p = .030) reduced the OS of CM patients.

Esmaeli et al. [15] also determined that a tumor thickness of > 2 mm was significantly correlated with regional lymph node metastasis (p = .033), regional lymph node or distant metastasis (p = .005), and death from disease (p = .004). Additionally, ulceration was related to an increased risk for nodal metastasis, distant metastasis, and death from disease in CM [15,28]. In our study, a mitotic count of > 1/mm<sup>2</sup> and LVI diminished the OS probability for CM patients. Tuomaala et al. [29] suggested an increasing mitotic count was related to a shorter time to recurrence (p = .042). Esmaeli et al. [15] reported that mitotic count  $\ge 1 \text{ mm}^2$  and vascular invasion were significantly correlated with regional lymph node metastasis and death from the disease.

Tumor diameter (largest basal diameter and tumor thickness) is one of the most important prognostic factors for UM [26,30,31]. In this study, when we compared the UM tumors according to the largest tumor diameter, 5-year OS rates were 66.7%, 28.6%, and 60% for UM tumors of small ( $\leq 9$  mm), middle (9.1–15 mm), and large (> 15 mm) sizes, respectively. OS rates differed significantly between middle- and large-sized subgroups (p = .035) (Table 2, Fig. 3B). Middle-sized UM tumors had the worst prognosis in our study. This could be due to features other than tumor size; however, the rarity of cases prevented us from performing a multivariate analysis for further comparisons.

In this study, the presence of TILs had a favorable effect on OS (p = .014) for CM patients. Cao et al. [32] studied programmed cell death 1/programmed cell death-ligand 1 (PD-L1) proteins and TILs in CM. Although TIL density was not directly associated with survival or tumoral/stromal PD-L1 expression (p > .05), these investigators determined that smaller tumors had higher TILs than larger tumors. Correspondingly, thicker tumors had a lower number of CD3<sup>+</sup> CD8<sup>+</sup> T-cells (p = .030) and tumor-infiltrating M2 macrophages (p = .020) [32]. Another study revealed that tumors with neural cell-adhesion molecule expression had a 6.4-fold higher risk of dying from CM (p = .020) and had no or only weak CD3<sup>+</sup> infiltration (p = .030) [33]. In a recent review by Brouwer et al. [34], the earliest report indicating that the presence of TILs, detected by hematoxylin and eosin, led to better survival was published in 1980 by Crawford [35] and contained 19 cases. Two other studies [36,37] with different approaches followed this publication and reported that the presence of TILs was associated with better survival in CM. Therefore, although our study contained a small sample size, the presence of TILs with improved OS in CM might be regarded as a valuable factor to conclude or support the role of TILs for OS in CM in the area of a few research reports.

Vodencarevic et al. [38] published 32 UM cases, and choroi-

dal involvement was the most common site, affecting 27 (84%) patients, followed by ciliary body involvement in four (13%) and iris involvement in one (3%) patient(s), respectively. We also found that the choroid was the most common site of involvement. Ciliary body melanoma can extend to the lens and result in cataract [39]. Various changes in the lens were reported in ciliary body melanoma [40]. This study determined that lens and conjunctival involvement negatively affected OS (Table 2, Fig. 3C, D). To the best of our knowledge, these features have not been reported previously for UM. However, a chance factor could not be excluded for the significance of conjunctival involvement with worse OS since only one patient with UM infiltrating the conjunctiva was present.

Jain et al. [11] stated that their CM patients were diagnosed with early-stage disease, and staging for them was as follows: pTis in 43 (14.9%), pT1 in 169 (58.7%), pT2 in 33 (11.5%), pT3 in 12 (4.2%), and pTx in 31 (10.8%). Wolf et al. [22] also confirmed pT1 disease in 83.3% of CM patients. Pathological tumor staging was reported to be associated with cumulative mortality rates and survival in CM patients [11]. Even though the result did not reach statistical significance, CM patients with pT3 had increased mortality and reduced OS compared to those with pT1 in our study (Table 1, Fig. 1F).

In large-scale studies, the 10-year mortality rate for CM has been reported as approximately 30%–40% [41,42]. However, in this study, OS ratios were lower than previous estimates [10,11, 41,42], and OS rates for CM at 1, 2, 3, 5, and 10 year(s) were 91.7%, 66.7%, 58.3%, 48.6%, and 25.9%, respectively (Fig. 1A). However, the small sample size is the main limitation of the generalizability of this study's results.

GNAQ/guanine nucleotide-binding protein subunit alpha 11 (GNA11) mutations are the most common (90%) mutations in UM patients [43]. One UM patient had a single-nucleotide variation at codon 183 on exon 4 of the GNAQ gene, which triggered a substitution of arginine with glutamine. This particular mutation is rarely reported in UM [44]. GNAQ/GNA11 mutations induce abnormal activation of the mitogen-activated protein kinase (MAPK) pathway, making MAPK/MEK (MAPK kinase) signaling pathway inhibitors an impressive target for therapy [45].

In conclusion, OS data with a detailed histopathological evaluation of ocular melanoma patients suggested some previously known and not well-identified features for OS. The presence of TILs is currently not well defined and is a controversial prognostic feature for CM. However, this study suggests TILs as a favorable factor for OS in CM. The current study also confirmed the poor prognostic effect on OS for ulceration, a tumor thickness of > 2 mm, and a mitotic count of > 1/mm<sup>2</sup>; those features were previously determined and suggested to be included in future tumor classification guidelines [15,28]. For UM, conjunctival and lens involvement have not previously been reported as unfavorable prognostic factors for OS to the best of our knowledge. Future studies may verify the relationship between survival and detailed clinicopathologic features of CM and UM. Next, these features may benefit initial patient management, treatment, and surveillance.

# **Supplementary Information**

The Data Supplement is available with this article at https://doi.org/10.4132/jptm.2022.03.10.

# **Ethics Statement**

Approval from the institutional research ethics board (2020-357) was obtained in accordance with the 1964 Declaration of Helsinki and its later amendments. The need for informed consent was waived due to the retrospective nature of the study.

# Availability of Data and Material

The datasets generated or analyzed during the study are available from the corresponding author on reasonable request.

# **Code Availability**

Not applicable.

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Conceptualization: SK, FPUG, BÖ. Data curation: SK, FPUG, BÖ, ÖE. Investigation: SK, FPUG. Methodology: SK, FPUG. Supervision: FPUG, ÖE. Writing—original draft: SK. Writing—review & editing: SK, FPUG, BÖ, ÖE. Approval of final manuscript: SK, FPUG, BÖ, ÖE.

# **Conflicts of Interest**

The authors declare that they have no potential conflicts of interest.

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# Correlation between myoferlin expression and lymph node metastasis in papillary thyroid carcinoma

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**Background:** Myoferlin is a multifunctional protein expressed in various normal and cancer cells, with novel oncogenic roles being newly discovered. Recently, correlations have been found between myoferlin expression and unfavorable prognosis in various carcinomas. This study investigated the prognostic role of myoferlin expression in papillary thyroid carcinoma (PTC), specifically that associated with nodal metastasis. **Methods:** We collected clinicopathological data and PTC tissues from 116 patients who had been admitted to Gyeongsang National University Hospital in 2010. Immunohistochemical analysis was performed on surgical specimen-derived tissue microarray blocks. Myoferlin expression was graded, and the relationship between expression level and pathological features of tumors based on the American Joint Committee on Cancer staging system was evaluated. **Results:** Of the 116 patient samples, 100 cases exhibited positive myoferlin expression. Higher grade of myoferlin expression was correlated with lower T category group (p = .010). Presence of lymph node metastasis was determined to be significantly correlated with low-grade myoferlin expression (p = .019), with no significant difference between pN1a and pN1b tumors. **Conclusions:** Our study revealed an adverse correlation between myoferlin expression and pathological features of PTC, evidence of the potential prognostic role of myoferlin in PTC lymph node metastasis.

Key Words: Myoferlin; Papillary thyroid carcinoma; Lymph node metastasis; Tumor staging

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In general, papillary thyroid carcinoma (PTC) has an excellent prognosis, with survival rates of 96% at 5 years and 93% at 10 years [1]. Unlike most other malignant tumors, the presence of lymph node metastasis has not been considered an independent risk factor for survival and has traditionally not been included in the criteria for survival risk stratification of papillary and follicular thyroid carcinoma. The current tumor, nodes, and metastasis (TNM) classification by the American Joint Committee on Cancer (AJCC) staging system categorizes the N category of PTC into N0 or N1 based on the existence of regional lymph node metastasis [2]. In patients younger than 55 years, determination of the AJCC prognostic stage. group for patient survival is not affected by the N category. Recent studies have suggested that nodal metastases in differentiated thyroid cancer are prognostic of not only an increased risk of recurrence, but also of poorer survival, particularly among older patients [3-6]. Additional variable features are also considered prognostic, including the size, number, and existence of metastatic nodal extracapsular extensions [7-10].

Myoferlin is a membrane-anchored protein of the ferlin family and is expressed in various cells such as myoblasts and endothelial cells. Myoferlin is involved in many cell functions such as cell fusion, cell growth and repair, and vesicle trafficking [11,12]. It also plays a role in oncogenesis through the regulation of cell proliferation and homeostasis and induces the epithelial-mesenchymal transition, leading to tumor metastasis [13-15]. The expression of this protein is found in various cancers including non-small cell lung cancer, breast cancer, pancreatic adenocarcinoma, clear cell renal cell carcinoma, hepatocellular carcinoma, and endometrioid carcinoma [14-20]. In studies that have investigated the associations between myoferlin expression and disease survival, studies of non–small cell lung cancer, pancreatic adenocarcinoma, and clear cell renal cell carcinoma found significant correlation of higher tumor cell myoferlin expression with unfavorable prognosis [16-18].

According to the Human Protein Atlas, in normal thyroid glandular cells, myoferlin is expressed at a medium expression level [21]. To the best of our knowledge, no previous study has investigated PTC-specific patterns of myoferlin expression. Therefore, in this study, we describe evidence for an association between tumoral myoferlin expression and nodal metastatic status in PTC.

# MATERIALS AND METHODS

# Case selection

We collected clinicopathological data from the electronic medical records of PTC patients who underwent either total thyroidectomy or lobectomy in 2010 at Gyeongsang National University Hospital, Jinju, Korea. Cases with morphologic variants other than conventional (classic) PTC were excluded. The TNM stages of the tumors were assessed according to the American Joint Committee on Cancer staging manual, 8th edition [2]. All gross photographs and hematoxylin and eosin-stained slides of the surgical specimens were reviewed by two pathologists.

# Tissue microarray

Tumor specimens from the selected cases were fixed overnight in buffered neutral formalin (10%) and embedded in paraffin blocks. Representative cores 2 mm in diameter were selected and obtained from each paraffin block after microscopic examination. They were then arranged onto new recipient tissue microarray (TMA) blocks.

# Immunohistochemical analysis

To investigate protein expression, immunohistochemical staining was performed on 4  $\mu$ m sections from the TMA blocks. The sections were deparaffinized, rehydrated, and incubated in 3% hydrogen peroxide. After heating in 10 mM citrate buffer (pH 6.0), the slides were incubated with a mouse monoclonal primary myoferlin antibody (1:100, 7D2, ab76746, Abcam, Cambridge, UK). 3,3'-Diaminobenzidine staining and counterstaining of hematoxylin were carried out for visualization. The expression of myoferlin in the tumor cells was graded from negative to weak (+1), moderate (+2), and strong (+3) positivity by two experienced pathologists. Normal thyroid follicular cells were used as the internal control. If tumor cells exhibited equivalent intensity of myoferlin expression compared to normal follicular cells, they were graded as moderate positive. Similarly, tumor cells that exhibited stronger or weaker expression were graded as strong and weak positive, respectively.

# Statistical analysis

Pearson's chi square test and Fisher's exact test were used to evaluate correlations between myoferlin expression level and a range of clinicopathological features including age and sex of patients and the size, extension, lymph node metastasis, and pathologic T category and N category in AJCC staging of the tumors. For all statistical analyses, differences were considered statistically significant at p < .05. IBM SPSS Statistics for Windows, ver. 21.0 (IBM Corp., Armonk, NY, USA) was used for the statistical analysis.

# RESULTS

# Clinicopathological features

Table 1 summarizes the clinical data and pathological features

 Table 1. Clinical and pathological information of the 116 papillary thyroid carcinoma patients

Variable	No. of patients (%)
Age (yr), mean (range)	49.5 (25–88)
Sex	
Male	20 (17.2)
Female	96 (82.8)
Size (cm), mean (range)	1.1 (0.1–6.0)
Gross extrathyroidal extension	
Absent	105 (90.5)
Present	11 (9.5)
T category	
T1a	73 (62.9)
T1b	23 (19.8)
T2	7 (6.0)
ТЗа	2 (1.7)
T3b	6 (5.2)
T4a	5 (4.3)
N category	
NX	65 (56.0)
NO	27 (23.3)
N1	24 (20.7)
N1a	17 (14.7)
N1b	7 (6.0)
Myoferlin	
Negative	16 (13.8)
Weak (+1)	35 (30.2)
Moderate (+2)	53 (45.7)
Strong (+3)	12 (10.3)

of the patients enrolled in this study. Of the 116 PTC patients enrolled, the mean age was 49.5 years (range, 25 to 88 years), with 20 male (17.2%) and 96 female (82.8%) patients. The largest diameter tumor sizes ranged from 0.1–6.0 cm (mean, 1.12 cm). Notably, in 11 (9.5%) of these cases, gross tumor extension beyond the thyroid gland was observed. Most patients (96/116, 82.7%) had pT1 carcinomas, whereas seven (6%), eight (6.9%), and five (4.3%) were observed for pT2, pT3, and pT4 tumors, respectively. Lymph node dissection was performed in 51 (44.0%) patients, of whom 24 (20.7%) were found to have lymph node PTC metastasis. There were 17 (14.7%) pN1a tumors and seven (6.0%) pN1b tumors. No distant metastasis was observed in any of the cases.

# Myoferlin expression in PTC

Normal thyroid follicular cells exhibited myoferlin expression in the cell cytoplasm and membrane (Fig. 1A). Myoferlin expression in PTC cells was observed in 100 cases (86.2%), showing a even expression pattern involving the cytoplasm and membrane (Fig. 1B–E). Myoferlin expression level was graded as weak (+1) in 35 cases (30.2%), moderate (+2) in 53 cases (45.75%), and strong (+3) in 12 cases (10.3%) (Table 1). To facilitate reproducibility, these grading categories were further simplified into two categories: low (negative or only weakly positive, +1) and high (moderate, +2 to strong, +3) expression levels. Of all patients, 51 (44.0%) exhibited negative or weak myoferlin expression level, whereas 65 (56.0%) showed moderate to strong expression.

# Correlation of myoferlin expression with T category and N category of PTC

Table 2 summarizes the associations between myoferlin expression level and the clinicopathological features of patients. Patient age and sex, tumor size, and presence of gross extrathyroidal extensions did not demonstrate a significant correlation with myoferlin expression of tumors. In pT1 tumors, a larger number exhibited a high (moderate or strong) level of myoferlin expression (n = 60, 51.7%) compared to low (negative or weak) expression (n = 36, 31.0%). In pT4 tumors, it was the opposite, as the number of tumors with a low level of expression (n = 4, 3.4%) outnumbered those with a high level of expression (n = 1, 0.9%). Overall, the T category and myoferlin expression level of tumors exhibited the



Fig. 1. (A) Myoferlin expression observed in the cytoplasm and membrane of normal thyroid follicular cells. (B–E) Myoferlin expression observed in papillary thyroid carcinoma. Negative (B), weak (+1) (C), moderate (+2) (D), and strong (+3) (E) myoferlin expression in tumor cells.

Table 2. Correlations between myoferlin expression and clinicopathological features of the patients

	Myoferlin expression			n velve
-	Low	High	– Total (n = 116)	p-value
Age (yr)	50.8±13.3	48.5±12.1		.343
Sex				
Male	11 (9.5)	9 (7.8)	20 (17.2)	.274
Female	40 (34.5)	56 (48.3)	96 (82.8)	
Size (cm)	$1.25 \pm 1.12$	$1.02 \pm 0.65$		.194
Gross extrathyroidal extension				
Absent	43 (37.0)	62 (53.4)	105 (90.5)	.057
Present	8 (6.9)	3 (2.6)	11 (9.5)	
T category				
1	36 (31.0)	60 (51.7)	96 (82.8)	.010
2	6 (5.2)	1 (0.9)	7 (6.0)	
3	5 (4.3)	3 (2.6)	8 (6.9)	
4	4 (3.4)	1 (0.9)	5 (4.3)	
N category				
X	32 (27.6)	33 (28.4)	65 (56.0)	.019
0	6 (5.2)	21 (18.1)	27 (23.3)	
1	13 (11.2)	11 (9.5)	24 (20.7)	
1a	8 (6.9)	9 (7.8)		.386
1b	5 (4.3)	2 (1.7)		
Total	51 (44.0)	65 (56.0)	116	

Values are presented as mean ± SD or number (%).

SD, standard deviation.

following tendency: tumors of the higher T category group showed a correlation with a lower level of myoferlin expression (p = .010).

Among the cases that underwent lymph node dissection, in lymph node metastasis-free (pN0) tumors, six (5.2%) exhibited low myoferlin expression level, whereas high levels were observed in 21 (18.1%). Among lymph node metastatic tumors (pN1), 13 (11.2%) indicated low myoferlin expression levels, whereas 11 (9.5%) cases revealed high levels. Statistically, pN1 tumors with lymph node metastasis were significantly correlated with low myoferlin expression levels (p = .019). Whether the tumor of the pN1 category was pN1a or pN1b showed no significant association with myoferlin expression (p = .386).

# DISCUSSION

This study confirmed that myoferlin is expressed in PTC cells. In previous studies, myoferlin overexpression was indicative of poor disease survival in various types of carcinomas including non-small cell lung carcinoma [16], pancreatic adenocarcinoma [17], and clear cell renal cell carcinoma [18]. However, our retrospective study data showed a statistically significant correlation of loss of myoferlin expression with a higher T category of tumor and lymph node PTC metastasis. With both features being risk factors for poor survival and disease recurrence, this correla-

tion counters the majority of recent research observations that have reported an evident correlation between higher myoferlin level and unfavorable prognosis.

In a study from our institution on endometrioid carcinoma, loss of myoferlin expression level correlated with high histologic grade [20]. This result also counters the previously described correlation of high myoferlin expression with poor prognosis in some carcinomas. The continuous cyclic regeneration of normal endometrial tissue was suggested as a possible cause of this correlation. Myoferlin is a multifunctional protein in normal cells, although its role in various cancer cells is unknown. Research findings suggest that myoferlin expression has different tendencies due to its various roles in different types of carcinomas.

No previous myoferlin studies were performed on endocrine cell-derived tumors. Myoferlin is expressed in normal thyroid follicular cells, but its specific roles in normal cells or oncogenic roles have yet to be studied. Therefore, one plausible hypothesis is that the endocrine activity of thyroid follicular cells influences the distinctive pattern of myoferlin expression in the thyroid. The difference in key functions of myoferlin could possibly be the cause of its expression tendency differing in PTC from lung and pancreatic epithelial tumors. Further molecular studies on the role of myoferlin in different types of tumor cells are needed to clarify the specific function of this protein. Of the 116 patients enrolled, there were only four cases with recurrent disease and no patient death during follow-up. With regard to these findings, owing to the limited sample size in the present study, an analysis of a larger cohort is necessary to confirm correlations with survival rates.

In differentiated papillary carcinoma, therapeutic neck dissection is strongly recommended and routinely performed in clinically palpable or biopsy-proven nodal disease, as it is well established that nodal metastasis is associated with disease recurrence [6]. However, the use of prophylactic neck dissection for clinically node-negative PTC is controversial. If prediction of occult lymph node metastatic status is determinable by pre-surgical cytology or biopsy specimens, a justification could be made for more individualized disease management, which could include determining whether to perform neck dissection or to closely follow-up on the case. The correlation proposed in the present study points to a potential prognostic role of myoferlin in lymph node PTC metastasis.

Conclusively, our study demonstrated correlation of myoferlin expression with pathological features in papillary thyroid carcinoma. Loss of expression of myoferlin was correlated with a higher T category of tumor and the presence of lymph node metastasis in PTC. This result shows the potential prognostic role of myoferlin in PTC as a helpful biomarker in therapeutic management.

# **Ethics Statement**

This study was approved by the Institutional Review Board of Gyeongsang National University Hospital, and the need for informed consent was waived (GNUH-10-026).

# Availability of Data and Material

The datasets generated or analyzed during the study are available from the corresponding author on reasonable request.

# Code Availability

Not applicable.

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# **Author Contributions**

Conceptualization: DCK, DHS. Data curation: JMN, DCK, JHL, JSL, JWY, HJA, MHK. Investigation: DCK, DHS, JHL, JSL, JWY, MHK. Methodology: JMN, DCK. Project administration: DCK, DHS. Resources: DHS. Supervi-

sion: DHS, MHK, JWY. Validation: DCK, HJA, HMK. Visualization: JMN. Writing—original draft: JMN, DCK. Writing—review & editing: JMN, DCK, JWY. Approval of final manuscript: all authors.

# **Conflicts of Interest**

The authors declare that they have no potential conflicts of interest.

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# EGFL7 expression profile in IDH-wildtype glioblastomas is associated with poor patient outcome

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Background: Despite the advances in glioblastoma (GBM) treatment, the average life span of patients is 14 months. Therefore, it is urgent to identity biomarkers of prognosis, treatment response, or development of novel treatment strategies. We previously described the association of high epidermal growth factor-like domain multiple 7 (EGFL7) expression and unfavorable outcome of pilocytic astrocytoma patients. The present study aims to analyze the prognostic potential of EGFL7 in GBM isocitrate dehydrogenase (IDH)-wildtype, using immunohistochemistry and in silico approaches. Methods: Spearman's correlation analysis of The Cancer Genome Atlas RNA seguencing data was performed. The genes strongly correlated to EGFL7 expression were submitted to enrichment gene ontology and Kyoto Encyclopedia of Genes and Genomes (KEGG) analysis. Additionally, EGFL7 expression was associated with patient overall survival. The expression of EGFL7 was analyzed through immunohistochemistry in 74 GBM IDH-wildtype patients' samples, and was associated with clinicopathological data and overall survival. Results: In silico analysis found 78 genes strongly correlated to EGFL7 expression. These genes were enriched in 40 biological processes and eight KEGG pathways, including angiogenesis/vasculogenesis, cell adhesion, and phosphoinositide 3-kinase-Akt, Notch, and Rap1 signaling pathways. The immunostaining showed high EGFL7 expression in 39 cases (52.7%). High immunolabelling was significantly associated with low Karnofsky Performance Status and poor overall survival. Cox analysis showed that GBMs IDH-wildtype with high EGFL7 expression presented a higher risk of death compared to low expression (hazard ratio, 1.645; 95% confidence interval, 1.021 to 2.650; p=.041). Conclusions: This study gives insights regarding the genes that are correlated with EGFL7, as well as biological processes and signaling pathways, which should be further investigated in order to elucidate their role in glioblastoma biology.

Key Words: EGFL7; Glioblastoma IDH-wildtype; PI3K-Akt pathway; Notch pathway; Rap1 pathway

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Gliomas are responsible for 70% of brain tumors [1]. Although rare (correspond to less than 2% of tumors), these are the second leading cause of death of cancer in patients of pediatric age [2] making this cancer an important public health problem. Glioblastomas correspond to almost half of the cases of malignant brain tumors [1]. They usually affect adults, with a peak incidence between 45 and 75 years [1,3]. Glioblastomas (GBMs) are lethal tumors, and less than 10% of patients live 2 years after diagnosis [4,5]. They are highly infiltrative; thus, the complete surgical resection usually is not possible [3]. In addition to surgery, standard therapy was proposed by Stupp and collaborators, through radiotherapy with concurrent chemotherapy using temozolomide, however the average life span of patients is 14 months [6].

Epidermal growth factor-like domain multiple 7 (EGFL7) is
a pro-angiogenic factor, largely restricted to the endothelium [7]. This protein plays a specific role in vascular tubulogenesis, regulates angiogenesis [8] and acts in vascular development of the placenta and embryonic growth in mammals [9]. Evidence suggests that EGFL7 expression plays a role in tumor progression through mediation of metastasis, proliferation, and angiogenesis [10,11]. Moreover, EGFL7 overexpression is associated with poor prognosis events on different tumor types such as gliomas [12-14] and colorectal cancer [10,15]. Also, interaction of EGFL7 with epidermal growth factor receptor (EGFR), a key oncogene, is directly related to the development, growth, and metastasis of several tumor types, such as gliomas [13].

In our previous studies, we found recurrent chr7q34 gain in gliomas, encompassing *EGFL7* gene [16], and high EGFL7 expression associated with an unfavorable outcome of pilocytic astrocytoma patients [14]. Therefore, we extended the investigation of the potential prognostic of EGFL7 expression in GBM isocitrate dehydrogenase (IDH)-wildtype through in silico and immunohistochemistry approaches, and also proposed signaling pathways that EGFL7 can be contributing to gliomagenesis.

# MATERIALS AND METHODS

### In silico analysis

We performed in silico analysis in R software, using the TC-GA2STAT package [17] and the tools of cBio [18] and DAVID [19] portals.

In order to evaluate potential pathways related to EGFL7 expression, correlation analysis was performed in cBio portal. RNA sequencing (RNASeq) data of GBM was obtained from The Cancer Genome Atlas (TCGA) (n = 152 patients), and the genes with Spearman's correlation  $\geq |0.6|$  were considered strongly correlated to EGFL7 expression. Those were submitted to gene ontology (GO) and Kyoto Encyclopedia of Genes and Genomes (KEGG) pathway analysis in DAVID portal, and GO/KEGG terms with  $p \leq 0.05$  were considered as statistically significant.

Additionally, RSEM-normalized data of expression (RNASeq) of GBM IDH-wildtype of the TCGA was obtained, together with the clinical data (n = 138). The number of reads of all genes were log10-transformed. Further, Z score was calculated for EGFL7, and patients with Z score  $\geq$ 1.0 were classified with high EGFL7 expression. In order to evaluate the prognostic value of EGFL7, overall survival of the patients was analyzed in Kaplan Meier curves using log-rank statistical test.

# Patients

Glioblastoma IDH-wildtype tissues were obtained from a series of 74 patients who had undergone surgery at Barretos Cancer Hospital. Formalin-fixed, paraffin embedded slides were reviewed by expert neuropathologists (A.P.B., L.N., and G.R.T.), who confirmed glioblastoma IDH-wildtype diagnosis according to 2021 World Health Organization central nervous system classification [1,20] and selected areas for tissue microarray construction. Overall, the age ranged from 26 to 75 years (median of 57 years), who were stratified in 20–59 years old (45 patients) and > 59 years old (29 patients). The average overall survival was 7.61 months (ranging from 0.13 to 45.50 months). All patients were deceased.

### EGFL7 immunohistochemistry

The EGFL7 immunohistochemistry reactions were performed according to Brunhara et al. (2021) [14]. Briefly, slides with sections of 4 µm were deparaffinized and rehydrated. Then, antigenic retrieval was performed in tris(hydroxymethyl)aminomethane (Tris)-ethylenediaminetetraacetic acid buffer for 20 minutes at 98°C. Inhibition of endogenous peroxidases and non-specific proteins was performed with the Novolink Polymer Detection System immunohistochemistry kit (Leica Biosystems, Wetzlar, Germany). The rabbit anti-EGFL7 antibody (cat. No. ab115786, Abcam, Cambridge, MA, USA) was applied at a 1:100 dilution on the slide, for 90 minutes at room temperature. Further, immunoreaction product complexes were treated by Novolink Polymer Detection System (30 minutes of post-primary antibody and 30 minutes of polymer at room temperature). Staining was performed using 3,3'-diaminobenzidine and counterstaining was performed with Gill-2 hematoxylin.

The reactions were analyzed by an expert neuropathologist (L.N.) using intensity (0, negative; 1, weak; 2, medium; and 3, strong) and extension (0, negative; 1, ~25% of mark; 2, between 25% and 50%; and 3, more than 50% of mark) scores. The scores were summed, and the expression was considered low (sum between 0 and 3) or high (sum between 4 and 6) [14]. Endothelial cells were used as positive internal control.

### Statistical analysis

Immunohistochemistry staining was associated with clinicopathological data (location, age, sex, and Karnofsky Performance Status [KPS]). Finally, overall survival was analyzed using Kaplan Meier curves. Statistical analysis was performed using chi-square tests or Fisher exact test (clinicopathological), log-rank (overall survival), or univariate Cox proportional hazards. Contrasts with a  $p \le .05$  were considered statistically significant.

# RESULTS

Through in silico analysis, we found 78 genes significantly associated with EGFL7 expression profile in GBM patients of TCGA database (Supplementary Table S1). These genes were found in 40 enriched GO terms, most of them related to angiogenesis/vasculogenesis, Notch pathway, and cell adhesion (shown in Fig. 1, Supplementary Table S2). Furthermore, pathway analysis using KEGG showed eight enriched terms, mainly related to adhesion, blood cells or blood vessel events, and phosphoinositide 3-kinase (PI3K)–Akt, Notch, and Rap1 signaling pathways (shown in Fig. 1, Supplementary Table S3). Survival analysis of



Fig. 1. Kyoto Encyclopedia of Genes and Genomes pathway and gene ontology (GO, biological processes) enrichment analysis of the genes highly correlated to EGFL7 expression. The sizes and color of the bubbles indicate the number of genes per term and significance level. EGFL7, epidermal growth factor-like domain multiple 7.

TCGA data showed no difference in overall survival regarding *EGFL7* gene expression (p = .182, shown in Fig. 2A).

munohistochemistry in a series of 74 glioblastomas IDH-wildtype. We found high cytoplasmatic EGFL7 expression in 39 cases (52.7%) (shown in Fig. 3). We further associated EGFL7 immu-

We further performed analysis of EGFL7 expression by im-



Fig. 2. Overall survival curves of glioblastoma patients. (A) *In silico* data of The Cancer Genome Atlas (TCGA) RNA sequencing. (B) Data of protein expression from 74 patients detected through immunohistochemistry. p-values were calculated using log-rank analysis. EGFL7, epidermal growth factor-like domain multiple 7.



Fig. 3. Microphotographs of resected specimens of glioblastomas immunostained for EGFL7. (A) Absence of immunoreactivity, although vascular endothelial cells serve as a positive internal control for EGFL7. (B) Diffuse and strong EGFL7 immunoreactivity. EGFL7, epidermal growth factor-like domain multiple 7.

		EGFL7 ex	xpression	
	No	Low	High	– p-value
Sex				
Female	29	16 (45.7)	13 (33.3)	0.276
Male	45	19 (54.3)	26 (66.7)	
Location				
Frontal	21	12 (34.3)	9 (23.1)	0.466
Parietal	13	8 (22.9)	5 (12.8)	
Temporal	12	4 (11.4)	8 (20.5)	
Occipital	3	1 (2.9)	2 (5.1)	
Other	25	10 (28.6)	15 (38.5)	
Age group (yr)				
20–59	45	24 (68.6)	21 (53.8)	0.195
>59	29	11 (31.4)	18 (46.2)	
KPS				
≤70	43	16 (45.7)	27 (69.2)	0.041
>70	31	19 (54.3)	12 (30.8)	

 Table 1. EGFL7 expression and its association with clinicopathological data

Values are presented as number (%).

EGFL7, epidermal growth factor-like domain multiple 7; KPS, Karnofsky Performance Status.

nostaining with patients clinicopathological features (Table 1). High EGFL7 immunolabelling was significantly associated with patients presenting KPS lower or equal to 70 (69.2% of the patients with high EGFL7 expression presented KPS  $\leq$ 70 vs. 45.7% of the patients with low EGFL7 expression, p = .041) (Table 1). EGFL7 expression was not associated with the other clinical features analyzed (sex, location, or age group) (Table 1). Importantly, there was found a poorer overall survival of the patients with high EGFL7 expression (mean of 5.69 months) compared to low EGFL7 expression (mean of 9.95 months, p = 0.038, in log-rank analysis, shown in Fig. 2B). Univariate Cox regression showed that patients with high EGFL7 expression have a 1.645-fold risk of death (95% confidence interval, 1.021 to 2.650; p = .041) compared to patients with low EGFL7 expression.

# DISCUSSION

In the present study, we analyzed the TCGA database in order to infer genes that may participate in the regulation of EGFL7 expression, as well as evaluated whether EGFL7 expression had a prognostic role in glioblastomas. Our analysis found that patients with high EGFL7 expression generally have low KPS and poor survival. Additionally, our findings give insights of the role and regulation of EGFL7 in this tumor type.

*EGFL7*, a downstream target of BMP9-SMAD1/5-mediated signaling, is a secreted angiogenic factor and promotes endothelial expansion through the interference of Notch signaling, ERK activation, and extracellular matrix remodeling [7]. Data of the literature points to the relation of high expression of EGFL7 and poor prognosis in acute myeloid leukemia (AML) [21,22], hepatocellular carcinoma [23], colorectal cancer [10], pancreatic carcinoma [11], and ovarian epithelial cancer [24]. Wang and colleagues additionally found a poor survival of patients with high EGFL7 expression who were EGFRwt [13] mainly by improving the activity of the  $\beta$ -catenin/TCF complex. Li et al. [25] described that EGFL7 expression promotes the proliferation of hepatocellular carcinoma by increasing CKS2. Corroborating these data, EGFR activation (p-EGFR) was found correlated with EGFL7 overexpression in hormone-producing pituitary adenomas [26].

Our in silico results showed the genes co-expressed with EGFL7 participating mainly in events such as angiogenesis, vasculogenesis, leukocyte transendothelial migration, corroborating the data present in the literature [7,27]. Data also shows enriched genes of Notch pathway (NOTCH4, DLL4, and JAG2). These genes may be subject of further studies to help elucidating the role of this gene in Notch pathway and angiogenesis. In fact, the relation between EGFL7 and Notch pathway remains with conflicting results in the literature. In AML, studies indicate that EGFL7 antagonizes Notch signaling through antagonism to the canonical Notch ligand [28]. On the other hand, silencing of EGFL7 in cutaneous melanoma inactivated Notch pathway, increasing apoptosis and suppressing cell proliferation [29]. Either way, EGFL7 provides its own microenvironment for the migration of endothelial cells, facilitating the budding that leads to angiogenesis [30]. It is known that angiogenesis is one of the hallmarks of cancer, and allows a better supply of blood to the tumor tissue, preventing its cells from having hypoxia/anoxia and/or nutrient deficiency [31].

Parsatuzumab, a humanized anti-EGFL7 antibody, selectively blocks the interaction between EGFL7 and endothelial cells. There is rationale of using this antibody in the inhibition of vascular growth and, used in combination to anti–vascular endothelial growth factor therapy, inhibition of vascular regrowth after vessel damage, which could reduce tumor vascularization [32]. Given the interesting results of the prognostic role of EGFL7, randomized phase II studies used parsatuzumab in combination with FOLFOX or bevacizumab in the treatment of metastatic colorectal cancer [33] and parsatuzumab in combination with carboplatin, paclitaxel, and bevacizumab for first-line nonsquamous non–small cell lung cancer [34] were performed. The authors found no evidence of the effectiveness of combining parsatuzumab with the proposed treatments, but stress the importance of future studies regarding the mechanisms of EGFL7 and development.

EGFL7 expression should be considered beyond vascular background since its expression was found in neuronal components in addition to endothelium [35]. Our immunohistochemistry analysis showed that the patients presenting high EGFL7 expression in tumor cells generally have lower KPS and poorer survival. In line, we have previously shown in pilocytic astrocytoma that high EGFL7 expression was associated with unfavorable outcome [14]. Our in silico analysis pointed to the enrichment of PI3K-Akt and Rap1 signaling pathways. In fact, PI3K-Akt pathway has been extensively described in carcinogenesis, including gliomagenesis. Together with mTOR, this pathway regulates several biological processes that are important in growth, metabolism, angiogenesis, autophagy, and chemotherapy resistance in GBM [36]. Importantly, these pathways have been considered for drug design [36]. On the other hand, Rap1 signaling pathway has been less studied in GBM. Rap1 belongs to Ras-related protein family and is involved in cell adhesion and cell junction formation [37] through ERK/mitogen-activated protein kinase signaling and integrin activation [38]. In vitro, Rap1 was activated by integrin through phospholipase D, enhancing cell adhesion and cell proliferation whereas in vivo Rap1 knockdown profoundly altered U373MG glioblastoma growth in xenograft model [39].

Altogether, our data point to biological functions and pathways related to the increase of tumor vasculature, tumor growth, metabolism, chemotherapy resistance, and changes in cell adhesion and/or cell junction formation. These alterations may be influencing tumor behavior, leading to lower KPS at diagnosis and consequent poor overall survival. However, more molecular studies are warranted in order to elucidate EGFL7 participation in glioblastoma biology.

### **Supplementary Information**

The Data Supplement is available with this article at https://doi.org/10.4132/jptm.2022.04.22.

### **Ethics Statement**

Written informed consent was obtained from each patient and this study was approved by the ethics committee of Barretos Cancer Hospital (Barretos, Brazil, process CEP 408/2010).

### Availability of Data and Material

The datasets generated or analyzed during the current study are available in TCGA repository (https://www.cancer.gov/tcga).

### **Code Availability**

Not applicable.

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### **Author Contributions**

Conceptualization: RMR, LTB. Data curation: LTB. Formal analysis: APB, LN, GRT, LTB. Funding acquisition: LTB. Investigation: BHBC, PGG, CO, LTB. Methodology: BHBC, APB, LN, PGG, CO, ADP, CAC. Project administration: RMR, LTB. Resources: RMR, LTB. Supervision: LTB. Validation: RMR, LTB. Visualization: BHBC, APB, LN, GRT, LTB. Writing original draft: BHBC, LTB. Writing—review & editing: BHBC, PGG, CO, GRT, RMR, LTB.

### **Conflicts of Interest**

The authors declare that they have no potential conflicts of interest.

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# Founder BRCA1 mutations in Nepalese population

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**Background:** Founder mutation is a heritable genetic alteration observed with high frequency in a geographically and culturally isolated population where one or more ancestors becomes the forebearer of the altered gene. The current study reports two founder mutations in the *BRCA1* gene in the Nepalese people. **Methods:** Germline *BRCA* testing in all surface epithelial ovarian cancers and the selected case of breast, prostate, and pancreatic cancers has been the standard practice from 2016 to 2021. One thousand one hundred thirty-three probands were screened for germline *BRCA* variants by next generation sequencing. The variants were classified as per the American Society of Medical Genetics and Genomics recommendations. Pathogenic (class V) and likely pathogenic (class IV) were considered clinically relevant and utilized for cascade screening. **Results:** Nepalese population made up a subcohort of 5.12% (58/1,133) of probands tested for germline *BRCA1*/2 variants. Twenty-seven of these 58 tested harbored pathogenic mutation c.2214\_2215insT (p.Lys739Ter) (NM\_007294.4). Additionally, a second highly recurrent mutation in *BRCA1* gene c.5068A>T (p.Lys1690Ter) (NM\_007294.4) was noted in six patients from this population. **Conclusions:** The overwhelming abundance of the above two variants in a geographically confined population confers these two genetic alterations a status of founder mutations amongst the people of Nepal. A more extensive population-based study to reaffirm these findings will help establish a dual site-specific germline testing similar to the "Multisite-3-as-as-asy" in Ashkenazi Jews as the primary screening tool, especially in a resource-constrained environment.

Key Words: BRCA1; BRCA2; Founder mutation; Nepal

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The founder effect amplifies a particular genotypic alteration' in a geographically or culturally confined population caused by several generations of interbreeding. Deleterious germline alterations of the *BRCA1* (OMIM \*113705) and *BRCA2* (OMIM \*600185) gene result in breast and ovarian cancer susceptibility. The lifetime risk of breast cancer is 55%–72% and 45%–69% in mutation carriers of *BRCA1* and *BRCA2* deleterious germline alterations, respectively [1-4]. Likewise, the risk of ovarian cancer is 39%–44% and 11%–17% in the *BRCA1* and *BRCA2* germline variants [1-4].

Germline alterations of *BRCA2* variants and, to a lesser extent, *BRCA1* variants in males predispose them to the breast [5] and prostate carcinoma [6-8]. The inherited pathogenic *BRCA1*/ *BRCA2* variants also increase the risk of pancreatic cancers, albeit to a lower extent [9]. The prevalence of *BRCA1* or *BRCA2* mutations varies considerably between ethnic groups and geo-

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graphical areas [10,11]. Founder BRCA mutations that are population-specific mutation(s) have been described in Iceland, Netherlands, Sweden, Norway, Germany, France, Spain, countries of central and eastern Europe, and Ashkenazi Jews [10,11]. The prevalence of BRCA mutation carriers in the general population is estimated at 1/800 to 1/1,000; however, the rate of occurrence of BRCA mutation increases several-fold in the population carrying founder mutation, for instance, the rate of a founder mutation in Ashkenazi Jews goes up to 1 in 40 [12,13]. Nepal is a Himalayan country with limited groupings of Tibeto-Burman and Indo-Aryan stock [14]. The geographically confined existence and restricted interaction with the outside world due to rugged terrain and the land-locked nature of the country provided an appropriate milieu for a deleterious mutation to breed, dominate and produce the founder effect. The current study reports the concentration of two particular BRCA1-specific vari-

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ants in the Nepalese population tested for *BRCA1* and *BRCA2* deleterious mutations.

# MATERIALS AND METHODS

### Research setting and subjects

One thousand one hundred thirty-three patients diagnosed with breast/ovarian/prostate/pancreatic cancer and who fulfilled the *BRCA* testing criteria recommended by National Comprehensive Cancer Network (NCCN) were included in the study [15]. Each eligible subject was explicitly explained and counseled about the pros and cons of undergoing *BRCA* testing by the institutional genetic counselor, and informed written consent was obtained for testing and using the information for research. The included participants were screened for the mutations in the *BRCA* genes by next generation sequencing (NGS), and the large genomic rearrangements (Big Indels) were examined through Multiplex Ligation-dependent Probe Amplification (MLPA).

### Isolation of DNA from blood, NGS, and data analysis

Genomic DNA was isolated from 2 mL of peripheral blood of the index case using the commercially available DNA isolation kit (Qiagen DNeasy Blood and Tissue kit, Qiagen NV, Hilden, Germany), following the manufacturer's instructions. Isolated DNA was quantified by Qubit 3.0 Fluorometric quantitation (Thermo Fisher Scientific, Waltham, MA, USA). NGS library was prepared manually with 10 ng of the isolated DNA using Oncomine BRCA assay – A328400 (Thermo Fisher Scientific) as detailed elsewhere [16]. Data generated from the runs was assessed for quality metrics on Torrent Suite Viewer (Ion Torrent Suite 5.10, Thermo Fisher Scientific) for parameters like the number of mapped reads, average base coverage depth, uniformity of coverage, coverage at  $1 \times, 20 \times$ , and  $100 \times$ , strand bias, end to end amplicon reads. Thresholds were employed as enunciated in The National Cancer Institute-Molecular Analysis for Therapy Choice (NCI-MATCH) trial [17]. Mapped reads of > 1,00,000 with 90% uniformity, average base coverage depth  $\geq$  100, and

> 90% coverage at 100x were considered optimal for reporting though the base coverage and mapped reads were usually far more. The variants were classified according to the American Society of Medical Genetics and Genomics recommendations for standards of interpretation and reporting of sequence variations [18].

Cases tested negative for *BRCA1/BRCA2* mutations were further investigated for possible large genomic rearrangements (Big Indels) by MLPA assay described previously elsewhere [16].

### Statistical analysis

Descriptive statistics were used to summarize the data. The data were analyzed statistically using SPSS ver. 23.0 (IBM Corp., Armonk, NY, USA). The statistical analysis comprised of calculating means and proportions. Appropriate tests of significance were applied, and a p-value of < .05 was considered significant.

# RESULTS

One thousand one hundred thirty-three comprehensive BRCA1 and BRCA2 testing for different ethnic populations, comprising the Indian, Nepalese, Bangladeshi, Afghani, Kenyan, Iraqi, and the Myanmarese, were performed at the Molecular Diagnostic laboratory of a tertiary cancer care hospital in India. Of the 1,133 patients tested, 58 were Nepalese descent (5.1%) with a mean age of 47.3 years. Twenty-seven of the 58 Nepalese patients harbored pathogenic BRCA1/BRCA2 genetic alteration, 16 breast, and 11 ovarian carcinoma cases. The mean age was 46.5 years in the Nepalese against 46.8 years in the rest of the cohort (95% confidence interval, -4.288 to 5.088; p = .867). Strikingly 23 of these 27 affected probands carried BRCA1 mutation and, far more significantly, just two recurrent nonsense mutations, as shown in Table 1 below. Only one proband out of 23 with BRCA1 inactivating alteration had a frameshift mutation outside these two genetic alterations. The two recurrent BRCA1 alterations (c.2214\_2215insT and c.5068A>T) were not found in any other ethnic population in the study (Fig. 1).

A BRCA mutation is seen in 27 Nepalese patients (27/58), ac-

Table 1. BRCA1/BRCA2 variants encountered in 27 Nepalese patients in our study

Gene	Variant	NM transcript	No. of patients with the variant
BRCA1	c.2214_2215insT (p.Lys739Ter)	NM_007294.4	16
BRCA1	c.5131A>T(p.Lys1690Ter)	NM_007294.4	6
BRCA1	c.2157_2158insA (p.Glu720ArgfsTer6)	NM_007294.4	1
BRCA2	c.1303_1304insA(p.Arg435fs)	NM_000059.4	1
BRCA2	c.2808_2811 del ACAA (p.Ala938fs)	NM_000059.4	1
BRCA2	c.6270_6271deITA(p.His2090gInfsTer9)	NM_000059.4	1
BRCA2	c.7030delA(p.lle2344TyrfsTer23	NM_000059.4	1

counting for 46.5% of tested patients. In comparison, *BRCA* mutation is observed in 227 non-Nepalese patients (227/1,075), accounting for 21.1%. The preceding emphasizes that *BRCA* mutation is significantly higher in the Nepalese population than in the non-Nepalese population (Fisher exact test, statistical value is <0.001). Also, *BRCA1* mutation was more common in Nepalese subcohort (23/58) than non-Nepalese subcohort (167/1,075) (Fisher exact test, statistical value is <0.001).

Of the total *BRCA* mutant cases, c.2214\_2215insT is the most frequently observed *BRCA1* variant in the Nepalese population (16/27), constituting 59.2% of the total *BRCA* mutant cases followed by c.5068A>T (6/27) (22.2%). Thirty three point three three percentage of these 27 *BRCA* mutant cases constituted triple-negative breast carcinoma with 29.6% luminal type breast cancer and 25.9% high-grade serous ovarian carcinoma, as summarized in Table 2. Only 11 (11/27) *BRCA* mutant cases had a family history of breast/ovarian cancer.

One of the probands was tested for somatic alteration in the *BRCA1* gene in ovarian carcinoma, and p.Lys1690Ter was detected in the *BRCA1* gene at a high variant allele frequency (VAF). Combined with our observation of this variant being highly repetitive in the Nepalese people and the high VAF, a peripheral blood testing was done by an orthogonal method (site-specific Sanger sequencing) and confirmed as germline.

# DISCUSSION

*BRCA1* and *BRCA2* mutations show variable prevalence in different ethnic populations. The prevalence of *BRCA1/2* mutation in the general population is estimated to be around 1/800 to 1/1,000 or at the rate of 0.125 to 0.1%. In contrast, the prevalence increases several-fold in the geographically confined ethnic groups with founder mutation as in Ashkenazi Jews, where *BRCA* mutation is observed at a frequency of 2.5% [12,13]. The present study highlighted a similarly high incidence of *BRCA1* mutation in the Nepalese patient cohort (46.5%), with the *BRCA1* gene altered in 39.6% and the *BRCA2* in 7%.

The discovery of a founder mutation in Ashkenazi Jews population paved the way to the "Multisite 3 assay" testing for *BRCA1* 185delAG, *BRCA1* 5382insC, and *BRCA2* 6174delT. The present study identifies two founder mutations in the *BRCA1* gene (c.2214\_2215insT and c.5068A>T) in the Nepalese population. Significantly, none from the non-Nepalese cohort of the 1,075 probands tested positive for these two mutations. This exclusiveness and high frequency of just two variants in an ethnic group support the founder nature of these variants.

These two alterations account for 85.2% of all cases detected with germline *BRCA* mutation in the Nepalese subcohort, which is far higher than the three mutations that make up 73.2% of all germline *BRCA* mutations amongst Ashkenazi Jews [21].



Fig. 1. The commonly encountered *BRCA1* mutations in the non-Nepalese population (A) and the Nepalese population (B) in the present study [19,20]. Note the dominance of just two genetic alterations in the Nepalese people and contrast to the widespread distribution of pathogenic mutation in the full-length *BRCA1* gene in the non-Nepalese population.

	BRCA mutant Nepalese cohort (n=27)	BRCA wild Nepalese cohort (n=31)	BRCA mutant non-Nepalese subcohort (n=227)	BRCA wild non-Nepalese cohort (n=848)
Age (yr)				
Mean <sup>a</sup>	46.5	51.6	46.8	51.6
Median (range)	44.5 (32–76)	52 (27–72)	44.5 (29–66)	52 (29–86)
Ovarian carcinoma with the morphology of HGSC	7 (25.9)	10 (32.5)	90 (39.6)	160 (18.8)
TNBC cases	9 (33.3)	11 (35.5)	96 (42.3)	300 (35.4)
Luminal type breast carcinoma cases	8 (29.6)	6 (19.3)	23 (10.1)	207 (24.4)
HER-2 enriched breast carcinoma	1 (3.7)	1 (3.2)	2 (0.1)	26 (3.1)
Cases with ovarian HGSC and TNBC	1 (3.7)	0	10 (4.4)	2 (0.02)
Cases with endometrioid ovarian carcinoma and TNBC	0	0	0	2 (0.02)
Cases with mixed ovarian carcinoma	0	0	2 (0.1)	0
Cases with ovarian low-grade serous carcinoma	0	0	0	2 (0.02)
Cases with ovarian endometrioid carcinoma	0	2 (6.4)	0	5 (0.6)
Prostate carcinoma cases	0	1 (3.2)	2 (0.1)	62 (7.3)
Pancreatic carcinoma cases	0	0	2 (0.1)	82 (9.7)
Cases with positive family history	11 (40.71)	4 (12.9)	54 (23.8)	43 (5.1)

Table 2. Clinical and morphological profile of BRCA mutant and BRCA wild-type cases in Nepalese and Non-Nepalese subcohorts

Values are presented as number (%) unless otherwise indicated.

HGSC, high-grade serous carcinoma; TNBC, triple-negative breast carcinoma; HER-2, human epidermal growth factor receptor 2.

<sup>a</sup>p = .867; 95% confidence interval, -4.288 to 5.088 (Nepalese vs. non-Nepalese BRCA mutant population).

Such high occurrence of just two mutations opens up the possibility that, like in Ashkenazi Jews, the first tier of testing can be reduced to dual site-specific testing for *BRCA1* c.2214\_2215insT and *BRCA1* c.5068A>T for the Nepalese people. Failing to identify one of the two nonsense mutations shall prompt further testing by a more extensive NGS-based assay.

One of the index cases of ovarian carcinoma screened for somatic *BRCA* testing had c. 5068A>T mutation in *BRCA1* gene at a high VAF and confirmed as germline mutation on orthogonal testing by site-specific Sanger sequencing on peripheral blood. Further, even when detected in tumor tissue, these two mutations shall get full consideration of germline origin, and germline testing should follow.

A positive family history of breast/ovarian carcinoma was found in 40.71% of BRCA mutant cases in the Nepalese subcohort, clearly far more common than non-Nepalese subcohort (23.8%). The observation of a significantly high *BRCA* mutant population sans a family history of cancer predisposition thus mandates testing all patients for *BRCA* irrespective of the family history as emphasized by the NCCN guidelines [15].

c.2214\_2215insT is located at chr17:41245334; exon 10 of 24 results in a frameshift that generates a new stop codon in place of Lysine, either causing the formation of a truncated BRCA1 protein or lack of it due to Nonsense-mediated mRNA decay [22,23]. Likewise, c.5068A>T is located at chr17:41219631; exon 17 of 23; position 57 of 78 (on assembly GRCh37) [22] also forms a premature stop codon in place of Lysine with similar ef-

fects as for the other founder mutation. These mutations were reported by the first author in the LOVD Database [24]. The variants above are not reported in the population databases (ExAC) [25]. The Clinvar has annotated these variants to be pathogenic [26].

The present hospital-based study on cancer patients acting as probands reveals founder mutations in the Nepalese people. A more extensive population-based study confirming their highfrequency and lack of other randomly distributed deleterious mutations in *BRCA* genes shall permit the development of a dual site-specific *BRCA1* testing as the first-line screen for probands and kindred. Such a strategy shall prevent the need for NGS, drastically reduce the cost of *BRCA* testing, allow deeper reach, especially in a resource-constrained setting. This strategy shall also provide a fillip to a preventive approach towards cancer.

BRCA1 c.2214\_2215insT and BRCA1 c. 5068A>T are the two germline alterations in the Nepalese population with founder effect and incidence of 85.2%. Further confirmation of our findings by a more extensive population-based study shall allow developing a limited dual site-specific germline test as a first-line screening tool, especially in a resource-restricted environment with limited accessibility to NGS. Additionally, Founder mutations can help conclude or rule out an anthropological hypothesis and has immense research potential in that field.

### **Ethics Statement**

This study was approved by the institutional review board (Rajiv Gandhi

Cancer Institute and Research Center), vide the ethical approval letter number RGCIRC/IRB-BHR/41/2020. The study was conducted per the Declaration of Helsinki. Informed written consent was obtained for testing and using the information for research.

### Availability of Data and Material

The datasets generated or analyzed during the study are available from the corresponding author on reasonable request.

### **Code Availability**

Not applicable.

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### **Conflicts of Interest**

The authors declare that they have no potential conflicts of interest.

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# Clinically undetected plasmacytoid urothelial carcinoma of the urinary bladder with non-mass-forming metastases in multiple organs: an autopsy case

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This case report outlines a clinically undetected urinary bladder plasmacytoid urothelial carcinoma (PUC) with multiple metastases detected at autopsy. An 89-year-old man presented with edema in the lower limbs. Pleural fluid cytology revealed discohesive carcinomatous cells, although imaging studies failed to identify the primary site of tumor. The patient died of respiratory failure. Autopsy disclosed a prostate tumor and diffusely thickened urinary bladder and rectum without distinct tumorous lesions. Histologically, the tumor consisted of acinar-type prostate adenocarcinoma with no signs of metastasis. Additionally, small, plasmacytoid tumor cells were observed in the urinary bladder/rectum as isolated or small clustering fashions. These metastasized to the lungs, intestine, generalized lymph nodes in a non-mass-forming manner. Combined with immunohistochemical studies, these tumor cells were diagnosed PUC derived from the urinary bladder. Both clinicians and pathologists should recognize PUC as an aggressive histological variant, which can represent a rapid systemic progression without mass-forming lesions.

Key Words: Urothelial carcinoma; Plasmacytoid variant; Urinary bladder; Autopsy; Cancer of unknown primary

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Plasmacytoid urothelial carcinoma (PUC) was first reported in 1991 as a rare histologic variant of urothelial carcinoma (UC) characterized by isolated tumor cells that morphologically resemble plasma cells with eccentric nuclei and eosinophilic cytoplasm [1-3]. In addition to its high risk of peritoneal spread, positive margins at cystectomy, and tumor recurrence, PUC has been recognized as an independent prognostic factor for poor prognosis in patients with urinary bladder carcinoma [4]. PUC may pose a significant diagnostic problem, particularly when it occurs in its exclusively pure or predominant pattern in a limited biopsy, cytology, or transurethral resection specimen. Moreover, reflecting an infiltration pattern arranged as diffuse, thick sheets, cords, or nests of small discohesive tumor cells without forming a focal mass [5], it is challenging to detect PUC via imaging procedures [6,7]. Initial manifestations of PUC may include distant metastasis or peritoneal dissemination [8]. Thus, a generalized histological analysis is required to precisely assess the spreading/metastasis of the tumor. However, there are only a limited number of autopsy case reports on PUC [7-10]. Herein, we describe a case of urinary bladder PUC that was initially recognized as a "carcinoma of unknown origin" through pleural fluid cytology, but an autopsy revealed extensive locoregional spreading and distant metastasis of the tumor.

# **CASE REPORT**

An 89-year-old Japanese man was admitted to our hospital with severely edematous lower limbs. The patient was diagnosed with prostate cancer at the age of 80 and received hormone therapy for 8 years. Because of atypical urothelial cells in urine smear cytology, he underwent transurethral resection of bladder tumor (TURBT) 1 year and 4 months before admission, that revealed UC in situ with a stromal microinvasion (Fig. 1A). A follow-up abdominal computed tomography (CT) scan taken 3 months before admission showed thickening of the rectal wall; however, no significant deformation was found in the urinary bladder. At the time of admission, a high level of carbohydrate antigen 19-9 (2,771 U/mL; normal, < 37 U/mL) was detected in serum, whereas levels of carcinoembryonic antigen and prostate-specific antigen (PSA) were normal.

Following admission, bilateral pleural effusion progressed, and pleural fluid smear/cell block cytology revealed discohesive carcinomatous cells (Fig. 1B) that were immunoreactive for cytokeratin (CK) 7 and CK20 and negative for PSA and thyroid transcription factor-1. Various organs, including the stomach, bile duct, pancreas, and urinary tract, were speculated to be the origin of tumor. However, fluorodeoxyglucose positron emission tomography/CT scan taken 20 days after admission failed to detect any primary lesions (i.e., carcinoma of unknown origin). The patient's respiratory function gradually deteriorated because of aspiration pneumonia. The patient was treated with only palliative therapy and died 27 days after admission. An autopsy was performed on the same day (postmortem, 7 hours).

Grossly, a prostate tumor measuring 3.5 cm in the largest diameter, protruding into the urethra, was detected. Around this tumor, the rectal wall and posterior wall of the urinary bladder were diffusely thickened, but no other mass-forming lesions were observed in the whole body examined (Fig. 1C, D). Histologically, the prostate tumor showed fused-gland features of acinar-type adenocarcinoma, Gleason Grade Group 4, extending to the rectal wall and urethra. Examination of the thickened urinary bladder wall revealed diffuse infiltration of discohesive,



Fig. 1. Premortem microscopic findings. (A) Transurethral resection specimen showing urothelial carcinoma in situ with a stromal microinvasion. (B) Discohesive carcinomatous cells with signet-ring or plasmacytoid features in the cell block from pleural fluid was noted. Gross examination at autopsy. (C) Anterior view of the gross description of the pelvic organs. (D) A prostate tumor protruding into the urethra is detected (arrowheads). Around this tumor, the rectal wall and posterior wall of the urinary bladder are diffusely thickened.



**Fig. 2.** Microscopic findings. (A, B) The thickened lesion in the urinary bladder wall, showing diffuse infiltration of discohesive, plasmacytoid tumor cells in a single file pattern and small nests in the edematous lamina propria (A) and muscularis propria (B). (C) The plasmacytoid tumor cells consisted of oval-to-round, eccentrically located nuclei and abundant densely eosinophilic and occasionally vacuolated/signet-ring-cell like cytoplasm. (D) Massive tumor extension into the thickened rectal wall, seminal vesicles, and periprostatic tissue (arrows) close to the prostate cancer (arrowheads) is observed. (E, F) Diffuse positive immunoreactivity for GATA binding protein 3 (E) and negative immunoreactivity for NK3 homeobox1 (F) in the plasmacytoid tumor cells (arrows, both E and F), and vice versa, in the prostate cancer cells (arrowheads, both E and F) is observed.

plasmacytoid tumor cells in a single file pattern and small nests in the edematous lamina propria (Fig. 2A), muscularis propria (Fig. 2B), and perivesical adipose tissue. The individual tumor cells displayed oval-to-round eccentrically located nuclei and abundant densely eosinophilic and occasionally vacuolated/signetring-cell-like cytoplasm (Fig. 2C). The tumor was exposed to the serosal surface of the urinary bladder and massively extended into the thickened rectal wall, seminal vesicles, and periprostatic tissue, surrounding the prostate cancer (Fig. 2D). Autopsy failed to detect any colorectal intramucosal lesions of the tumor.

Characteristics of primary antibodies used in immunohistochemical analysis are summarized in Table 1. Immunohistochemically, the plasmacytoid tumor cells were diffusely positive for CK7, CK20, p63, and GATA binding protein 3 (GATA3) and negative for NK3 homeobox 1 (Nkx3.1), while the opposite was observed in the prostate cancer cells (i.e., Nkx3.1+ and CK7/ CK20/p63/GATA3-) (Fig. 2E, F). The plasmacytoid tumor cells were also negative for CDX2 and nuclear β-catenin. Immunoreactivity for E-cadherin was retained in the plasmacytoid tumor cells, and that for human epidermal growth factor receptor 2 (HER2) had a score of 2+ (Fig. 3A). PathVysion HER2 DNA probe kit (HER2 SpectrumOrange/CEP17 SpectrumGreen DNA probes, Abbott Molecular, Downers Grove, IL, USA) was used for fluorescence in situ hybridization analysis, as described previously [11]. Hybridization was performed between the denatured probes and denatured DNA on tissue sections at 37°C for 14-18 hours. The sections were counterstained with 4,6-diamidino-2-phenylindone. The number of fluorescence signals from both centromeric region of chromosome 17 (CEP17) and HER2 probes in the 40 interphase tumor cell nuclei was counted. The HER2/ CEP17 ratio and mean HER2 signal were less than 2.0 and 4.0, respectively (Fig. 3B). PUC in the present case showed no *HER2* amplification. Based on these findings, the urinary bladder tumor was diagnosed as PUC that histologically involved an area measuring  $10 \times 7$  cm.

Non-mass-forming multiple metastases of PUC were found in the lungs (bilateral), jejunum, sigmoid colon, sacrum, and generalized lymph nodes and in peritoneal and pleural disseminations, with or without lymphatic invasion (Fig. 3C–E). No features of conventional UC were found in the primary and metastatic sites. Autopsy failed to detect distant metastases of the prostate cancer.

# DISCUSSION

Emerging evidence demonstrates that PUC is associated with adverse clinicopathological features, advanced stage at cystectomy, and poor prognosis [3,12-16]. In a recent meta-analysis of eight case series, PUC of the bladder showed a higher frequency of pathological stage  $\geq$ T3, increased risk of perivesical/ureteral margin positivity, and lymph node metastasis, and elevated overall mortality rate compared to conventional UC [4]. While early tumor detection and accurate evaluation of tumor spreading are important for proper clinical management of PUC [3], its unique non-mass-forming progression makes it difficult to diagnose. Some reports indicate that PUCs tend to invade along the perirectal/perivesical fascial plane [12,15,16], which is usually challenging to detect using conventional CT/magnetic resonance imaging [6]. In the present case, autopsy showed an extensive local spreading to the perivesical fascial plane and generalized metastases of the tumor; however, it could not be detected via clinical imaging. PUC of the bladder is a rare disease; most histological data in previous case series were only from TURBT or

Table 1	. Characteristics	of primary	/ antibodies in immunohistochemical a	analysis

Protein	Clone	Manufacturer, catalog number	Host	Antigen retrieval	Dilution
β-catenin	Monoclonal, β-catenin-1	Dako, Glostrup, Denmark, M3539	Mouse	Boil, 95°C 30 min, EDTA pH=9	1:200
CK7	Monoclonal, OV-TL 12/30	Dako, M7018	Mouse	Proteinase K, 37°C 15 min	1:200
CK20	Monoclonal, Ks20.8	Dako, M7019	Mouse	Proteinase K, 37°C 15 min	1:100
D2-40	Monoclonal, D2-40	Dako, M3619	Mouse	None	1:50
E-cadherin	Monoclonal, 4A2c7	Invitrogen, Carlsbad, CA, USA, 18-0223	Mouse	Boil, 95°C 30 min, EDTA pH=9	1:100
GATA3	Monoclonal, L50-823	Biocare Medical, Concord, CA, USA, 1-800-799-9499	Mouse	Boil, 95°C 30 min, EDTA pH=9	1:300
HER2	Polyclonal	Dako, K5204 (HercepTest II)	Rabbit	Boil, 95°C 40 min, specialized buffer	Ready-to-use
Nkx3.1	Monoclonal, EP356	Nichirei, Tokyo, Japan, 418281	Rabbit	Autoclave, 121°C 10 min, EDTA pH=9	Ready-to-use
p63	Monoclonal, 7JUL	Leica Biosystems, Newcastle Upon Tyne, UK, NCL-p63	Mouse	Boil, 95°C 30 min, EDTA pH=9	1:50
PSA	Monoclonal, ER-PR8	Dako, M0750	Mouse	None	1:100
TTF-1	Monoclonal, SPT24	Novocastra, Newcastle Upon Tyne, UK, NCL-TTF-1	Mouse	Boil, 95°C 30 min, EDTA pH=9	1:200

EDTA, ethylenediaminetetraacetic acid; CK, cytokeratin; GATA3, GATA binding protein 3; HER2, human epidermal growth factor receptor 2; Nkx3.1, NK3 homeobox 1; PSA, prostate-specific antigen; TTF-1, thyroid transcription factor-1. cystectomy specimens. We suggest that the lack of data regarding precise histological evaluation of such generalized disease (i.e., autopsy study) is one of the major factors that makes clinical/ imaging analysis of PUC difficult.

Only four other autopsy cases of PUC have been reported to date [7-10]. The clinicopathological features of these cases (cases



Fig. 3. (A) Retained immunoreactivity for E-cadherin and moderate-intensity immunoreactivity for human epidermal growth factor receptor 2 (HER2) were noted in cancer cells. (B) Fluorescence in situ hybridization for the copy number of *HER2* gene showing no *HER2* amplification in cancer cells. DAPI-counterstained interphase nuclei are observed; the red and green signals indicate the *HER2* and *CEP17* signals, respectively. (C) Metastatic small clusters or isolated cancer cells in the lungs. (D) Immunostaining for D2-40 highlighting lymphatic invasion of cancer cells. (E) Metastatic cancer cells in the sacral bone marrow.

Tabl	le 2. Clinicopath	hologic	al features:	of the autopsy	Table 2. Clinicopathological features of the autopsy cases with plasmacytoid urothelial carcinoma	toid urot	helial c	arcino	ma					
Case		Age (yr)/	Primary	Operative		% PUC/	Immi	unohist	Immunohistochemical analysis	ıl analysi			Piston to adoptedia	
No.		Sex	Location	procedure		Total UC	CK7 O	CK20 E	E-cad GATA3	ATA3 HI	HER2		Dotait Hetaotado	SIGNING
<del></del>	Simon et al. [7]	65/M	65/M 7 cm per gross/ bladder	TURBT and cysto- prostatectomy	MVAC (3 cycles), Atezolizumab	100	+	+	I	+	N/A Rectal wall		Lungs, pleura, diaphragm, small/large intestine, gallbladder, thoracic and abdominal lymph nodes	DOD 9 mo <sup>a</sup>
2	Kohada et al. [8]	75/F I	N/A/bladder TURBT	· TURBT	GC (2 cycles), Pembrolizumab	N/A	I	I	+	+	+ Uterus, retrop	eritoneum	None	DOD 4 mo <sup>a</sup>
с	Ando et al. [9] 83/M N/A/bladder Nephro- uretere	83/M	N/A/bladder	<ul> <li>Nephro-</li> <li>ureterectomy</li> </ul>	None	N/A	N/A N	N/A	1	N/A N	N/A Rectal wall, prostate ç	ectal wall, prostate gland	Peritoneal dissemination and retroperitoneal lymphatic permeation	l DOD 2 mo <sup>b</sup>
4	Tanaka et al. [10]	85/M	85/M 8×6 cm <sup>o</sup> / bladder	TURBT	None	N/A	+	+	1	N/A N	N/A Prosta	Prostate gland	Liver, spleen, kidneys, adrenal glands, bone marrow	<ul> <li>DOC (AMI)</li> <li>37 days<sup>a</sup></li> </ul>
Ŋ	Present case 89/M 10×7 cm <sup>o/</sup> TURBT bladder	89/M	10 × 7 cm°/ bladder	TURBT	e N N	100	+	+	+	+	<ul> <li>Rectal wall, seminal w paraprost tissue</li> </ul>	ectal wall, seminal vesicle, paraprostatic tissue	Lungs, right kidney and ureter, left testis, pancreas, liver hilum, right adrenal gland, small/large intestine, pleural/peritoneal dissemination, retroperitoneal tissue, bone marrow, thoracic and abdominal lymph nodes	5 mo <sup>a</sup>
PUC MVA	, plasmacytoid ur C, methotrexate, od after TURBT; <sup>b</sup>	rrothelial , vinblast	carcinoma; tine, doxorut after para-du	PUC, plasmacytoid urothelial carcinoma; UC, urothelial carcinoma; C MVAC, methotrexate, vinblastine, doxorubicin and cisplatin; N/A, not Period after Para-duodenum tissue biopsy, by	cinoma; CK, cytokeratin; E-cad, E-cadherin; HER2, human epidermal growth factor recep r; N/A, not available; DOD, death of disease; F, female; GC, gemcitabine and cisplatin; DO0 biopsy, by which carcinoma of unknown origin was firstly detected; <sup>o</sup> At the time of autopsy.	ı; E-cad, D, death ma of un	E-cadh of dise: known	erin; HE ase; F, f origin w	ER2, hum emale; G 'as firstly	ian epidé C, gemc detectec	ermal growt itabine and I; °At the tin	th factor recept I cisplatin; DOC ne of autopsy.	PUC, plasmacytoid urothelial carcinoma; UC, urothelial carcinoma; CK, cytokeratin; E-cad, E-cadherin; HER2, human epidermal growth factor receptor 2; M, male; TURBT, transurethral resection of bladder tumor; MVAC, methotrexate, vinblastine, doxorubicin and cisplatin; NA, not available; DOD, death of disease; F, female; GC, gemcitabine and cisplatin; DOC, death of other cause; AMI, acute myocardial infarction. Period after TURBT; <sup>b</sup> Period after para-duodenum tissue biopsy, by which carcinoma of unknown origin was firstly detected; <sup>c</sup> At the time of autopsy.	on of bladder tumor; dial infarction.

1-4) and the present case (case 5) are summarized in Table 2. In all five cases, the primary tumor location was the bladder, and four patients were men. The percentage of PUC in the total UC area was described in only one case (case 1); the tumor was a pure type PUC, similar to that in the present case. Immunohistochemically, three of four cases (75%) were positive for CK7 and CK20, three of three cases (100%) were positive for GATA3, and loss of E-cadherin staining was detected in three of five cases (60%). In cases 1, 2, and 4, PUC was diagnosed using TURBT specimens. In the present case, TURBT revealed only a minor amount of stromal invasion of cancer cells. However, no obvious detrusor muscle was detected in the whole specimen, indicating a high risk of residual tumor. In this context, if re-TURBT had been performed, the PUC invading the muscle layer might have been disclosed. All cases showed direct invasion of tumor to adjacent organs, and cases 1 and 3 showed progression along the perirectal fascial plane, similar to that seen in the present case. In all cases except case 2, multiple distant metastases were found in the intra-abdominal organs (cases 1, 3-5), thoracic cavity (cases 1 and 5), and bone (cases 4 and 5). PUC was the main cause of death in all cases except for case 4 (myocardial infarction), and all five patients died within 2 years from the initial diagnosis of the tumor.

In the present case, although plasmacytoid carcinomatous cells were detected during pleural fluid cytology, we could not consider those in relation to the conventional UC in the previous TURBT specimens. PUC is often observed at advanced stages with metastasis [6], and its differential diagnosis includes a wide variety of diseases such as signet-ring cell adenocarcinoma (especially colorectal origin due to the perirectal spreading pattern of PUC), carcinoma with rhabdoid features, lymphoma/myeloma, and melanoma [13]. The first case of PUC was also identified in a bone metastatic lesion and was reported to mimic a myeloma [1]. In such situations, the possibility of PUC should be recognized initially, and effective immunohistochemical analysis should be performed. GATA3 is the most sensitive marker for tumors derived from urinary epithelium, and CDX2/ $\beta$ -catenin might be useful to distinguish PUC from colorectal signet-ring adenocarcinoma [17]; hence, these markers should have been included in our immunohistochemical panel for pleural fluid cytology examination for diagnosing the present case. Although loss of E-cadherin expression is observed in 70%-80% of cases and is thought to be one of the diagnostic hallmarks of PUC [18], its frequency was found to be 57% in another case series [17]. Retention of cytoplasmic expression of E-cadherin is not sufficient to rule out PUCs.

Although there was moderate immunoreactivity for *HER2*, no gene amplification was detected in the tumor cells in the present case. A recent molecular study of 1005 UC cases revealed that *HER2* gene amplification was found in approximately 10% of the cases, and concluded that anti-HER2 antibody is expected to be applied to the novel standard treatment of UC [19]. Interestingly, *HER2* amplification is one of the features characterizing "luminal unstable" type in the recent consensus molecular classification, which is enriched in plasmacytoid histology of the bladder UC [20]. Further investigations directed towards HER2 status and the effectiveness of HER2-targeted therapy for this aggressive variant of UC are required.

In summary, we described a case of clinically undetected PUC that was initially identified as a "cancer of unknown primary" through pleural fluid cytology but autopsy revealed broad local spreading and multiple organ metastasis. This rare form of UC should be considered in the differential diagnosis of aggressive occult tumors. Data accumulation and analysis of additional autopsy case reports are essential to clarify the peculiar non-mass-forming spread of PUC, which can help in its early detection.

### **Ethics Statement**

This single case report in exempted submission to Institutional Review Board and subsequent informed consent by the National Defense Medical College, Tokorozawa, Japan (Registration number, 4007; decision date, August 8, 2021).

### Availability of Data and Material

The datasets generated or analyzed during the study are available from the corresponding author on reasonable request.

### **Code Availability**

Not applicable.

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Conceptualization: YA, KM. Data curation: YA, KM. Formal analysis: KM. Investigation: YA, KM, SY. Methodology: KM. Resources: SM. Supervision: MS, KI, SM. Visualization: KM. Writing—original draft: YA, KM. Writing review & editing: SY, MS, KI, SM. Approval of final manuscript: all authors.

### **Conflicts of Interest**

The authors declare that they have no potential conflicts of interest.

### **Funding Statement**

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# Hepatic carcinoma expressing inhibin: case report of a proposed novel entity and review of the literature

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Hepatic carcinoma expressing inhibin is a recently described neoplasm with varied architecture, including trabecular, pseudoglandular, follicular/microcystic, organoid, solid and tubular patterns of growth. We report a case of hepatic carcinoma expressing inhibin that occurred in a 47-year-old woman presenting with epigastric and back pain. The tumor was located in the left hepatic lobe and measured 12 cm in diameter. On immunohistochemical stains, the neoplastic cells were positive for inhibin, as well as cytokeratins 7, 8/18 and 19. There was mild focal expression of synaptophysin, and lack of expression of hepatocytic markers. The histogenesis of hepatic carcinoma expressing inhibin is presently uncertain. From a practical point of view, this neoplasm can potentially cause diagnostic pitfalls by simulating other primary or metastatic tumors, such as hepatocellular carcinoma, cholangiocarcinoma, neuroendocrine tumors, and follicular carcinoma of thyroid gland. Performing inhibin immunostain could assist in the differential diagnosis of liver tumors with unusual histologic features.

Key Words: Carcinoma; Immunohistochemistry; Inhibin; Liver

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Hepatic carcinoma expressing inhibin (HCEI) is a recently described neoplasm of uncertain histogenesis that exhibits histologic features simulating hepatocellular, cholangiocellular, thyroid, and neuroendocrine tumors. In addition to our original report in 2005 [1], fourteen cases of HCEI have been reported since 2017 [2-6], indicating that this neoplasm may be more common than previously thought. In most cases, the correct diagnosis was made in consultation; the contributors' diagnoses often were those of neuroendocrine tumors [2-5].

We report a new case of HCEI, review the literature on this proposed novel entity, and discuss its possible histogenesis.

# **CASE REPORT**

# **Clinical summary**

We have recently examined in consultation a left hepatic lobe tumor from a 47-year-old woman, who presented with epigastric and back pain of several months' duration. Physical examination revealed a palpable mass in the left upper abdominal quadrant. Her past medical history was unremarkable. The patient did not smoke or drink alcohol, had no history of liver disease, and was not on any medication. There was no family history of liver disease. On magnetic resonance imaging (MRI) of the upper abdomen, a lobulated mass was seen, which occupied almost the entire left hepatic lobe. The tumor appeared inhomogeneously enhanced after intravenous contrast administration. The remaining liver parenchyma appeared normal. There were no other abnormal findings in the pancreas, spleen, adrenal glands and kidneys. Chest X-ray was normal. Liver function tests, hematology work-up, and serum levels of tumor markers (carcinoembryonic antigen, CA19-9, CA125, and α-fetoprotein) were within normal limits. The patient underwent a left hepatic lobectomy. On postoperative chest computed tomography scan, as well as upper and lower abdominal MRI, there were no additional lesions or residual tumor.

# Pathologic findings

On gross examination, the tumor was relatively well-circum-

scribed, displayed a tan-yellow cut surface, and measured 12 cm in greatest dimension (Fig. 1A). On microscopic examination, it exhibited trabecular, follicular and pseudoglandular architecture (Fig. 1B). The tumor cells were columnar or ovoid in shape, with eosinophilic cytoplasm and roundish nuclei with inconspicuous nucleoli (Fig. 1C). Mild nuclear pleomorphism and rare mitotic figures were found. The follicular structures contained amorphous eosinophilic material with peripheral vacuolization, reminiscent of thyroid follicles (Fig. 1B). Occasional fibrous septa were observed (Fig. 1B). Regions of hemorrhage and cystic degeneration were present. No necrosis was found. At the tumor border, there was invasive growth, with tongues and groups of neoplastic cells extending into the adjacent hepatic parenchyma (Fig. 1D). The hepatic parenchyma was otherwise unremarkable. No evidence of biliary intraepithelial neoplasia was present. The surgical margins of resection were free of tumor.

On immunohistochemical evaluation, the neoplastic cells were positive for cytokeratin (CK) 7 (Fig. 2A), CK8/18, and CK19 (Fig. 2B), as well as inhibin (Fig. 2C). There also was patchy mild positivity of tumor cells for synaptophysin (Fig. 2D). Membranous positivity with polyclonal carcinoembryonic antigen was present around pseudoglandular structures (Fig. 2E). The neoplastic cells were negative for HepPar-1, arginase-1, glypican-3,  $\alpha$ -fetoprotein, glutamine synthetase,  $\beta$ -catenin (nuclear), CK20, epithelial membrane antigen, chromogranin, INSM1, thyroid transcription factor-1, thyroglobulin, human chorionic gonadotrophin, CA19-9, Melan A, CD10, CD56, CD99, vimentin, calretinin, estrogen and progesterone receptor proteins, PAX8, GATA3, CDX2, and trypsin. On immunohistochemical stains for Ki67 antigen, there was positivity in 10% of tumor cells (Fig. 2F).

Further immunohistochemical stains for CD34 and  $\alpha$ -caldesmon were performed to explore the vascular architecture of the tumor. A rich, thin-walled vascular bed was evident on CD34 stains (Fig. 3A), while sparse larger vessels with venous features were seen on stains for  $\alpha$ -caldesmon (Fig. 3B).

# DISCUSSION



Fig. 1. Gross and microscopic features of the tumor. (A) Gross examination shows a large, relatively well-circumscribed tumor, with a tan-yellow cut surface and focal areas of hemorrhage and cystic degeneration. (B) Representative low power view of the tumor, demonstrating trabecular, follicular and pseudoglandular architecture. (C) On high power examination, the neoplastic cells are columnar or ovoid, with eosinophilic cytoplasm and mild nuclear pleomorphism. (D) Neoplastic cell invasion of the adjacent hepatic parenchyma is evident at the tumor margin.

HCEI is an enigmatic neoplasm that was originally recognized because of the combination of its unusual histologic features with



Fig. 2. Immunohistochemical findings of tumor cells. The neoplastic cells are positive for cytokeratin 7 (A), cytokeratin 19 (B), and inhibin (C). Patchy mild positivity of tumor cells for synaptophysin is also seen (D). Stain for polyclonal carcinoembryonic antigen demonstrates membranous positivity around pseudoglandular structures (E). Ten percent of tumor cells are positive for Ki67 antigen (F). Adjacent hepatic parenchyma is seen on the right side in all photomicrographs except for D.



Fig. 3. Immunohistochemical findings of tumor vessels. A rich thin-walled vascular bed is observed on CD34 stain (A), while stain for  $\alpha$ -caldesmon shows sparse larger vessels with venous features (B).

the immunohistochemical expression of inhibin [1]. Sixteen cases of HCEI have been thus far reported, including the present one (Table 1) [1-6]. Twelve cases occurred in women and four in men; the age range of the patients was 17 to 54 years. HCEI has been found to exhibit varied architecture, with trabecular, pseudoglandular, follicular/microcystic, organoid, solid and tubular patterns of growth. The neoplastic cells diffusely express inhibin. Prognosis is variable. The patient of our original report [1] received no further treatment following surgical resection, and is well, without evidence of disease, 20 years after presentation. The patient of our current report is also alive and without evidence of disease 9 months after presentation. However, three of the other 14 patients reported to date have died of the disease, 5 had disease progression, one had stable disease, 3 were disease-free at last follow-up, and two were lost to follow-up (Table 1).

Despite the small number of reported cases, there is sufficient evidence that HCEI is a distinct clinicopathologic entity [1-6]: (1) These tumors are relatively large (size range, 6.9 to 36 cm) when they become symptomatic, suggesting an indolent initial growth phase. (2) These tumors have characteristic histologic fea-

No.         Study         April or Study         Montaneo Harmonia         Montae Harmonia         Montaneo Harmonia														
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41 $F$ $N/A$ $235$ $+$ $ +$ $   +$ $+$ $+$ $ +$ $   -$	Wen et al. (2021) [3]	4		20	+	N/A	I	I	+	N/A	NOD (1 yr)	N/A	N/A	N/A
3       F       NA       15.5       +       +       (patchy)       + (focal)       +       NA       NA         1       26       M       Most of liver       36       +       NA       +       NA       NA         51       24       F       Right lobe       25.4       +       +       + (patchy)       + (focal)       +       +       NA         51       24       M       Night lobe       11.5       +       +       + (patchy)       + (focal)       +       +       Nutificical resumence       Nomal         51       54       M       Night lobe       11.5       +       +       + (focal)       +       +       Nutificical resumence       Nomal         51       54       M       Night lobe       11.5       +       +       + (focal)       +       +       Nutificical resumence       Nomal         51       52       M       N/A       7.5       +       + (focal)       +       +       Unknown       Nomal         106       26       M       NIA       NA       NA       NA       NA       NA       NO       Nomal         107       1       NA	Wen et al. (2021) [3]	4		23.5	+	+ (patchy)	+ (patchy variable)	+ (patchy)	+	N/A	Intrahepatic recurrence (1.5 yr)	N/A	N/A	N/A
26       M Most of liver       36       +       NA       +       -       +       NA       Mutitocal ocal recurrence       NA         1       24       F       Right lobe       25.4       +       +       + (patchy)       + (focal)       +       +       Mutitocal local recurrence       Nomal         1       54       M       Right lobe       21.5       +       +       + (patchy)       + (focal)       +       +       Nomal         1       52       M       NA       7.5       +       + (patchy)       + (focal)       +       +       Nomal       Nomal         6       26       M       NA       7.5       +       + (patchy)       + (focal)       +       +       Nomal       Nomal         6       26       M       NA         6       26       M       Right lobe       21       +       + (patchy)       NA		g		15.5	+	+	+ (patchy)	+ (focal)	+	N/A	Metastases (at diagnosis)	N/A	N/A	N/A
24       F       Right lobe       25.4       +       +       (focal)       +       +       (focal)       +       +       (focal)       Normal       Normal         54       M       Right lobe       11.5       +       +       +       (focal)       +       +       Nultificial intrahepatic       Normal         52       M       N/A       7.5       +       +       +       (focal)       +       +       +       Normal         3       26       M       N/A       7.5       +       +       +       N/A       N/A </td <td></td> <td></td> <td>M Most of liver</td> <td>36</td> <td>+</td> <td>N/A</td> <td>+</td> <td>I</td> <td>+</td> <td>N/A</td> <td>Disease progression and metastases, AWD (15 mo)</td> <td>N/A</td> <td>N/AP</td> <td>N/A</td>			M Most of liver	36	+	N/A	+	I	+	N/A	Disease progression and metastases, AWD (15 mo)	N/A	N/AP	N/A
54     M Right lobe     11.5     +     +     +     (focal)     +     +     M Iffical intrahepatic     Normal       52     M     N/A     7.5     +     +     +     +     (focal)     +     +     M Iffical intrahepatic     Normal       51     26     M     N/A     7.5     +     +     +     (focal)     +     +     +     Normal       31     26     M     Right lobe     21     +     +     (focal, weak)     N/A     N/A     N/A     N/A     N/A       47     F     Left lobe     12     +     +     +     (focal, weak)     -     N/A     N/A     N/A     N/A     N/A		4		25.4	+	+	+ (patchy)	+ (focal)	+	+	Multificcal local recurrence and metastases, AWD (9 mo)	Normal	N/A	N/A
52     M     N/A     7.5     +     +     + (patchy)     + (focal)     +     +     Unknown     Normal       5]     26     M     Right lobe     21     +     + (patchy) + (patchy)     N/A     N/A     N/A     AWD (stable, by imaging, at 6 mo)     N/A       47     F     Left lobe     12     +     +     + (focal, weak)     -     N/A     N/A     N/D (9 mo)     Normal			M Right lobe	11.5	+	+	+ (patchy)	+ (focal)	+	+	Multifiocal intrahepatic recurrence, AWD (10 mo)	Normal	N/AP	N/A
26     M     Right lobe     21     +     + (patchy) + (patchy)     N/A     N/A     N/A     AWD (stable, by imaging, at 6 mo)     N/A       47     F     Left lobe     12     +     +     + (focal, weak)     -     N/A     N/A     NOD (9 mo)			M N/A	7.5	+	+	+ (patchy)	+ (focal)	+	+	Unknown	Normal	N/AP	N/A
47 F Left lobe 12 + + + (focal, weak) - N/A N/D (9 mo) Normal				21	+	+ (patchy)	+ (patchy)	N/A	N/A	N/A	AWD (stable, by imaging, at 6 mo)	N/A	N/AP	N/A
		٢.		12	+	+	+ (focal, weak)	I	N/A	N/A	NOD (9 mo)	Normal	None	Normal

tures, including trabecular, pseudoglandular, follicular/microcystic, organoid, solid and tubular patterns of growth, 3) In addition

tic, organoid, solid and tubular patterns of growth. 3) In addition to inhibin positivity, HCEI characteristically shows positivity for 'biliary' markers (CK7 and CK19), mild or patchy positivity for neuroendocrine markers (synaptophysin, chromogranin, CD56), and absence of staining for hepatocellular markers (HepPar-1, arginase-1, glypican-3,  $\alpha$ -fetoprotein). Albumin mRNA has also been detected in 10 cases by in situ hybridization [3-5]. (4) Molecular studies recently performed in three cases [5] have detected a novel *NIPBL-NACC1* gene fusion in HCEI.

The histogenesis of HCEI remains elusive. Inhibin expression characterizes a limited range of normal cell types, including granulosa cells, luteinized thecal cells and hilus cells of the ovary, syncytiotrophoblastic cells and adrenocortical cells [1]. Theoretically, any of these cell types could be present in a heterotopic location, such as the liver, and give rise to tumors. However, tumors with the features of HCEI have not been described in the ovaries or adrenals. On the other hand, inhibin expression has occasionally been reported in tumor types without obvious connection to the normal cells mentioned above, such as mucinous cystic neoplasm of the liver and pancreas, as well as neuroendocrine tumors of clear cell type occurring in patients with von Hippel-Lindau disease [7]. It might be worth exploring whether there is any connection between these neoplasms and HCEI. Nevertheless, the possibility that inhibin expression may be triggered in cells with a biliary phenotype by NIPBL-NACC1 gene fusion cannot be ruled out.

From a practical point of view, it is possible that HCEI has been underreported, due to its histologic and immunohistochemical similarities with hepatocellular carcinoma, cholangiocarcinoma, primary or metastatic neuroendocrine tumors and metastatic follicular carcinoma of the thyroid gland. The diagnosis of HCEI can be easily missed if pathologists do not think of performing inhibin immunostain. Reports of additional cases, including further immunohistochemical and molecular studies, will be useful to define this proposed new entity with certainty. In this context, it would be of interest to assess inhibin expression in neoplasms that have histologic similarities with HCEI, such as primary hepatic neuroendocrine tumors (including a recently described "primary hepatic neuroendocrine tumor with unusual thyroid follicular-like morphologic characteristics", which occurred in a 57-year old woman [8]), as well as tumors recently described as "thyroid-like intrahepatic cholangiocarcinoma", which occurred in three women and one man, between the ages of 26 and 59 years [9-12].

should be aware of. This neoplasm can potentially cause diagnostic pitfalls by simulating histologically other primary or metastatic hepatic tumors. Performing inhibin immunostain could be of great help in the differential diagnosis of liver tumors with unusual histologic features.

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### **Ethics Statement**

Informed consent was obtained from the individual participant included in this study. In our institution, case studies are exempted from Institutional Review Board submission.

### Availability of Data and Material

The datasets generated or analyzed during the study are available from the corresponding author on reasonable request.

### **Code Availability**

Not applicable.

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Conceptualization: AS, EA, PH. Data curation: AS, EA, PH. Formal analysis: AS, EA, PH. Methodology: AS, EA, PH. Supervision: PH. Writing original draft: AS, EA, PH. Writing—review & editing: AS, EA, PH. Approval of final manuscript: all authors.

### **Conflicts of Interest**

The authors declare that they have no potential conflicts of interest.

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# Primary pulmonary epithelioid inflammatory myofibroblastic sarcoma: a rare entity and a literature review

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Epithelioid inflammatory myofibroblastic sarcoma (EIMS) is an aggressive subtype of inflammatory myofibroblastic tumor (IMT) harboring anaplastic lymphoma kinase (*ALK*) gene fusions and is associated with high risk of local recurrence and poor prognosis. Herein, we present a young, non-smoking male who presented with complaints of cough and dyspnoea and was found to harbor a large right lower lobe lung mass. Biopsy showed a high-grade epithelioid to rhabdoid tumor with ALK and desmin protein expression. The patient initially received 5 cycles of crizotinib and remained stable for 1 year; however, he then developed multiple bony metastases, for which complete surgical resection was performed. Histopathology confirmed the diagnosis of EIMS, with *ALK* gene rearrangement demonstrated by fluorescence in situ hybridization. Postoperatively, the patient is asymptomatic with stable metastatic disease on crizotinib and has been started on palliative radiotherapy. EIMS is a very rare subtype of IMT that needs to be included in the differential diagnosis of ALKexpressing lung malignancies in young adults.

Key Words: Epithelioid inflammatory myofibroblastic sarcoma; Inflammatory myofibroblastic tumor; Lung; Anaplastic lymphoma kinase; Crizotinib; Fluorescence in situ hybridization

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Inflammatory myofibroblastic tumor (IMT) is a distinctive mesenchymal tumor composed of neoplastic myofibroblastic tumor cells associated with inflammatory infiltrate of plasma cells, lymphocytes, and occasional eosinophils or neutrophils. IMTs present mainly in children and young adults, lack significant gender bias, and occur in a variety of anatomical locations [1]; lungs are one of the most common sites, accounting for  $\sim 1\%$  of all adults and ~50% of pediatric lung tumors [2]. Fusions of the anaplastic lymphoma kinase (ALK) gene with various fusions partners, most commonly tropomyosin 3 (TPM3) or tropomyosin 4 (TPM4) gene, are observed in up to 60% of IMTs, correlating with diffuse cytoplasmic staining for ALK protein in tumor cells. Conventional IMTs show low-grade spindle cell tumor morphology lacking significant atypia, mitoses, or necrosis. Surgical resection is curative, and although one-fifth of cases will recur, < 5% of all IMTs metastasize [1,2].

Epithelioid inflammatory myofibroblastic sarcoma (EIMS) is a very rare subtype of IMT that has been associated with an ag-

gressive course, rapid local recurrence, early metastases, and fatal outcome [1,3]. Unlike the bland spindle cell morphology of IMT, EIMS is composed of plump round to epithelioid tumor cells with prominent nucleoli and myxoid stroma with neutrophil-rich infiltrate [1] and show mitoses and necrosis. They harbor ALK gene fusions, most commonly with RANBP2 (Ran specific binding protein 2) or, rarely, with RRBP1 (ribosome binding protein 1). The fusions have been associated with a peculiar nuclear membrane (RANBP2-ALK fusion) or perinuclear accentuated cytoplasmic immunostaining (RRBP1-ALK fusion) for ALK protein [3-5]. EIMS occurs mainly in children and young adults, with a male gender predilection. These tumors have been most commonly reported in the mesentery and omentum [3,6], while primary pulmonary EIMS is extremely rare [7-9]. Herein, we report a primary pulmonary EIMS in a young male who was initially treated with ALK inhibitor monotherapy and subsequent surgery in the face of metastatic progression. In addition, we discuss the challenges associated with the diagnosis and treatment of this rare entity.

# **CASE REPORT**

A 25-year-old, non-smoking male presented with complaints of cough, significant weight loss, intermittent high-grade fever, and difficulty breathing for longer than 4 months. Imaging revealed a well-defined homogenous fluorodeoxyglucose (FDG)avid mass of  $6.9 \times 4.2 \times 6.1$  cm involving the superior segment of the right lower lung lobe with no other pulmonary/pleural lesions or lymphadenopathy (Fig. 1A). Positron emission tomography scan showed another FDG-avid well-defined hypodense lesion of size  $1.1 \times 1.2$  cm in segment IVA of the liver, likely metastasis. Biochemistry including serum tumor biomarkers was within normal range. A computed tomography–guided biopsy of the lung mass showed a poorly differentiated malignant tumor immunonegative for epithelial (pan-cytokeratin, epithelial membrane antigen), hematopoietic (CD45, myeloperoxidase, CD30), pneumocytic (thyroid transcription factor 1), melanocytic (human melanoma black 45, S-100), and mesothelial (calretinin, Wilms tumor protein 1) markers with retained INI-1 and BRG-1 expression. Tumor cells showed cytoplasmic ALK (VENTANA anti-ALK [D5F3] antibody developed by Roche Diagnostics [one 5 mL dispenser of VENTANA anti-ALK (D5F3) antibody contains approximately 70 µg of the rabbit monoclonal (D5F3) antibody; the antibody is diluted in 0.08 M phosphate buffered saline with 3% carrier protein and 0.05% Pro-Clin 300, a preservative]) and cytoplasmic desmin. Myogenin was



Fig. 1. Imaging features of the patient. (A) Computed tomography images show a homogeneous mass (arrow) with well-defined margin involving the superior segment of the right lower lobe. (B) Imaging at 6-months post-biopsy with the patient on crizotinib; the mass (arrow) was stable in size with few hypodense areas suggestive of necrosis. Positron emission tomography scan at 12-month post-biopsy with the patient continuing on crizotinib revealed new metastatic lesions in left 10th rib (arrow, C) and left proximal femur (arrow, D).

negative. The possibility of EIMS was suggested, and resection/ re-biopsy was advised for molecular confirmation due to limited remaining biopsy material.

After multidisciplinary thoracic oncology tumor board dis-

cussion, the patient was planned for surgical excision of the lung tumor and radiofrequency ablation of the liver lesion because of the young age of the patient with good performance status, solitary metastasis, and unconfirmed primary pathological diagno-



Fig. 2. Gross features of the resected pulmonary tumor. Resection specimen shows a well encapsulated, lobulated, uncut tumor (A). A cut section shows a circumscribed, fleshy tumor with a surrounding rim of lung parenchyma (arrow, B).



**Fig. 3.** Histomorphological and molecular features of the tumor. Hematoxylin and eosin-stained sections show rhabdoid phenotype tumor cells with moderate to abundant inclusion-like eosinophilic cytoplasm, prominent nucleoli, and fine granular chromatin with frequent mitoses in a myxoid stroma (A). The tumor cells show rich myxoid stroma and infiltration of mixed inflammatory cells (B, C, E) and prominent nuclear pleomorphism (D). The tumor cells show strong and diffuse cytoplasmic anaplastic lymphoma kinase (ALK) immunopositivity (F). (G) Fluorescence in situ hybridization using the ALK-ROS1 FLEXISH probe shows extra 3' signals (spectrum orange) of the *ALK* gene in tumor cells (white arrows, extra red signals unfused with green and/or aqua), indicating ALK gene rearrangement. The *ROS1* gene (indicated by fused red green-aqua signals, small yellow arrows) is intact.

sis. However, the patient was started on ALK inhibitor (crizotinib), and surgical intervention was deferred due to coronavirus disease 2019. Six months later, repeat imaging showed stable pulmonary disease (Fig. 1B) and complete resolution of the liver lesion. Crizotinib was continued and the patient was re-assessed for definitive surgical management. Repeat imaging 1 year after presentation showed increase in the size of the pulmonary mass (7.2×5.3×4.2 cm), and bone scan revealed metastasis in the right humerus (mid-shaft), left 10th rib, and left proximal femur (Fig. 1C, D). Pleural effusion or mediastinal lymph node metastasis (endobronchial ultrasound-guided transbronchial needle aspiration showed reactive lymphoid hyperplasia) was absent. Despite new bony metastases, because of the young age of the patient, surgical intervention was carried out as planned by means of video-assisted thoracoscopy-assisted right lower lobectomy.

Grossly, a well circumscribed, soft, fleshy tumor was identified in the lung parenchyma with focal areas of haemorrhage and necrosis (Fig. 2). Microscopy (Fig. 3) revealed a homogeneous tumor composed of ovoid to polygonal cells arranged in fascicles and sheets. The cells showed moderate to abundant eosinophilic cytoplasm with markedly pleomorphic nuclei, prominent nucleoli, and fine granular cytoplasm showing frequent mitoses (8 per 2 mm<sup>2</sup>). Necrosis was present that had not been seen in the biopsy. Focal areas of myxoid change and lymphoplasmacytic infiltrate were noted. The immuno-profile of the tumor was similar to that of the biopsy except for focal cytokeratin expression in the resection, while histomorphology of the tumor was similar to that of the biopsy. The Ki-67 labeling index was ~30%-35% in the highest labeled areas. There was diffuse strong cytoplasmic staining for ALK protein. Fluorescence in situ hybridization assay using the FlexISH ALK/ROS1 DistinguISH Probe (ZytoVision, GmbH, Bremerhaven, Germany) showed one or more isolated 3' signals (extra orange signals) of the ALK gene without corresponding 5' signals (green signals) in the majority of tumor cells, indicative of ALK gene rearrangements [10], confirming the diagnosis of primary pulmonary EIMS. The ROS1 gene represented by fused aqua-green-orange signals was intact (Fig. 3). The postoperative period was unremarkable, and the patient is currently asymptomatic 4-month post-surgery. He is continuing on crizotinib and is planned for palliative radiotherapy for the bony metastases.

# DISCUSSION

Primary pulmonary EIMS is extremely rare, with only three cases reported previously in the English literature to the best of

o. Study	Age (yr)/ Sex	/ Anatomic site	Size (cm)	Clinical Presentation	Metastasis at presentation	Immunoprofile	Molecular confirmation (method)	Primary treatment	Outcome
Fu et al. [7]	21/M	21/M Left lower Iobe lung	10	10 Weight loss, fatigue	None	Desmin+, ALK (c), CD30-	ALK rearrangement (FISH)	Lobectomy	Bone metastases (pelvis, vertebrae) and underwent laminectomy; death 4 mo after resection
Sarmiento et al. [8]	71/M	71/M Pleura based, left lower lobe	12.5	12.5 Dyspnoea, pleural effusion	None	NA	ALK rearrangement (FISH)	Lobectomy and adjuvant crizotinib	Progressed on crizotinib after 2 mo; near complete response to second line ALK inhibitor; remission after 1 yr at last follow-up
Kozu et al. [9]	57/M	Pleural cavity/ chest wall	NA	Pleural effusion and dyspnoea	N/A	Desmin+, cytokeratin+, RANBP2-ALK ALK+ (c), CD30- fusion (PCR)	RANBP2-ALK fusion (PCR)	Crizotinib	NA
Present case	25/M	Lung Right lower Iobe	~	Cough, loss of weight, fever, dyspnoea	Liver (solitary)	Desmin+, focal cytokeratin+, ALK+ (c), CD30–	ALK rearrangement (FISH)	Crizotinib	Complete resolution of liver metastasses and stable pulmonary disease for 6 mo; bony metastasses developed at 10 mo; lobectomy done and stable metastatic disease on crizotinib for 3 mo
.K, anaplastic	lymphoma	a kinase; (c), cytop	lasmic; l	FISH, fluorescent in	n situ hybridizatior	η; NA, not available; <i>RAN</i>	K, anaplastic lymphoma kinase; (c), cytoplasmic; FISH, fluorescent in situ hybridization; NA, not available; RANBP2, Ran specific binding protein 2; PCR, polymerase chain reaction.	protein 2; PCR, polyn	ierase chain reaction.

Table 1. Clinicopathological features of reported cases of primary pulmonary epithelioid inflammatory myofibroblastic sarcoma including the current case

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# ALK,

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Entity	Incidence as lung mass	Epithelial markers	Mesenchymal markers	Other markers	ALK protein expression	Commonest ALK fusions
IMT [2]	Common	Pan CK+/-	SMA+, Desmin+	CD30-	Cytoplasmic (50%–60%)	TPM3- <i>ALK</i> , TPM4- <i>ALK</i>
ALCL [21]	Uncommon	EMA+	SMA+, Desmin-	CD30+	Nuclear and cytoplasmic	NPM-ALK
ALK+LBL [21]	Rare	EMA+	-	CD138+, CD38+, Mum1/IRF1+, IgA+, Bob1+	Cytoplasmic, nuclear and nucleolar	CLTC-ALK ALK-SEC31A
ALK-rearranged NSCLC	Common	CK+	-		Cytoplasmic	EML4-ALK [14]
Melanoma	Extremely rare	CK-	-	S-100+, Melan A+, HMB45+, SOX10+	ALK <sup>ATI</sup> (seen in cutaneous melanoma) [22]	EML4-ALK [23]
Epithelioid mesothelioma [2]	Uncommon	CK5/6+/-, EMA+/-	Desmin-	Calretinin+/–, WT+, D2-40+	_	-
Rhabdomyosarcoma	Rare	CK/EMA-	Desmin+, Actin+	MyoD1+, Myogenin+ CD30–	Cytoplasmic	NPM-ALK [24], EML4-ALK [25]
Epithelioid leiomyosarcoma [1]	Rare	EMA+	SMA+, Desmin+, h-caldesmon+	CD34+/CK+/, EMA+/	-	-
EIMS [2]	Rare	CK or EMA-/+	Desmin+, SMA+	CD30+	Nuclear membrane or cytoplasmic with perinuclear accentuation	ALK-RRBP1 [3-5], ALK-RANBP2
Pleomorphic carcinoma [2]	<1%	NSCC component CK+, TTF-1 +, EMA+	Vimentin+	Surfactant protein A+, p53+	Rare	-

Table 2. Differential diagnosis of poorly differentiated epithelioid to rhabdoid tumors

ALK, anaplastic lymphoma kinase; ALK ATI, anaplastic lymphoma kinase with alternative transcription initiation; IMT, inflammatory myofibroblastic tumor; CK/ PanCK, cytokeratin; SMA, smooth muscle actin; TPM, tropomyosin; ALCL, anaplastic large cell lymphoma; EMA, epithelial membrane antigen; NPM, nucleophosmin; Mum1/IRF1, multiple myeloma 1/interferon regulatory factor 4 protein; CLTC, clathrin heavy chain; NSCLC, non–small cell lung carcinoma; EML4, echinoderm microtubule-associated protein-like 4; HMB45, human melanoma black 45; EIMS, epithelioid Inflammatory myofibroblastic sarcoma; *RRBP1*, ribosome binding protein 1; RANBP, Ran-binding protein; NSCC, non-small cell lung cancer; TTF-1, thyroid transcription factor 1.

our knowledge (Table 1) [7-9]. Including the present case, primary pulmonary EIMS occurs across a wide age range (21–71 years at diagnosis) with a male preponderance. The tumor presents as a solitary parenchymal mass or as pleural mass with a relatively short symptom duration (<6 months) and is not uncommonly metastatic at presentation, with brain and skeleton appearing to be the preferred sites of metastases (Table 1).

Diagnosis of EIMS is challenging. The poorly differentiated epithelioid morphology can mimic anaplastic large cell lymphoma (ALCL), malignant melanoma, alveolar rhabdomyosarcoma, alveolar soft part sarcoma, epithelioid leiomyosarcoma, undifferentiated sarcoma, malignant mesothelioma, poorly differentiated carcinoma, and pulmonary pleomorphic carcinoma (containing a minimum 10% spindle and/or giant cells) and needs to be differentiated by relevant immunohistochemical markers (Table 2). Conventional IMTs are more common in the lung but are differentiated from EIMS by their bland spindle morphology, prominent lymphoplasmacytic cellular infiltrate, and absence of mitoses and pleomorphism. Rare cases of otherwise conventional IMTs that show increased mitotic activity, necrosis, and aggressive biological behavior are reported [11,12]; these should not be designated as EIMS in the absence of distinctive morphology of round to epithelioid cells, myxoid stroma, and mixed inflammatory infiltrate, as was observed in the present case. ALK protein expression is essential for diagnosis but is not specific as many other lung tumors express ALK protein due to underlying ALK gene rearrangements, as in ALCL, conventional IMTs, and non-small cell lung carcinoma (NSCLC), or to ALK copy number alterations as in rhabdomyosarcomas [13]. ALKrearranged lung adenocarcinoma/NSCLC shows a variety of histological characteristics ranging from cribriform pattern, mucincontaining cells, presence of psammoma bodies, and solid signetring cells. None of the histological parameters are specific for a particular genotype [14-19]. Fluorescence in situ hybridization using break-apart probes is useful to confirm ALK gene rearrangements; however, polymerase chain reaction and/or sequencing of RNA transcripts is required for delineating ALK fusion partners. ALK protein expression in a nuclear membranous or perinuclear cytoplasmic pattern gives a clue to the presence of underlying RANBP2 or RRBP1 fusion partners with ALK, which are the most common fusions in EIMS; however, these fusions can also be observed in IMTs and ALCLs [20]. On the other hand, other fusion partners including EML4, the most common fusion partner in ALK-rearranged NSCLC, have also been reported in EIMS associated with the more common cytoplasmic ALK staining pattern [26]. The various *ALK* gene rearrangement seen in NSCLC are EML4, TFG, KIF5B, KCL1, and multiple EML4 isoforms [27].

Thus, due to considerable genetic overlap with other ALKomas, EIMS is essentially a histopathological diagnosis, and definitive diagnosis can be difficult on a small biopsy, even with ancillary testing.

EIMS is an aggressive tumor with poor prognosis and local recurrence [1,3]. Review of previous reported pulmonary EIMS showed that surgical resection remained the mainstay of treatment when feasible (Table 1). These tumors do not respond to chemotherapy or radiotherapy [28,29]. Most ALK fusion proteins including those resulting from RANBP2-ALK fusions are sensitive to ALK tyrosine kinase inhibitor (TKI) inhibition in pre-clinical models [30], suggesting a role for targeted therapy. From the limited data available, EIMS does appear to respond to the ALK TKI crizotinib, as in our patient who experienced complete resolution of liver metastases. However, long-term responses or remissions are rare, with most EIMS patients progressing with metastatic disease within 3 to 6 months of crizotinib monotherapy [7-9], likely due to secondary resistance mechanisms [10]. A recent study demonstrated prolonged survival with a combination of ALK and CD30 targeted therapies [31]; however, CD30 expression appears to be uncommon in pulmonary EIMS (Table 1). EIMS is a very rare subtype of IMT that presents as a primary lung mass in young non-smokers, posing a considerable diagnostic challenge due to aggressive behavior with frequent metastases. There are limited data on treatment protocols; in the present case, targeted ALK inhibitor therapy combined with surgery achieved considerable disease control.

### **Ethics Statement**

This study was approved by the Institutional Review Board with a waiver of informed consent (IRB No IEC-404/02.09.2016) and performed in accordance with the principles of the Declaration of Helsinki.

### Availability of Data and Material

All data generated or analyzed during the study are included in this published article (and its supplementary information files).

### **Code Availability**

Not applicable.

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Conceptualization: PS. Data curation: PS. Formal analysis: PS. Supervision: DJ. Visualization: DJ. Writing—original draft: PS. Writing—review & editing: PS, AN, MKG, RR, SK, PSM, DJ. Approval of final manuscript: all authors.

# **Conflicts of Interest**

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